



# Nonlinear Optics of THz (and IR and FIR) Radiation

**Robert W. Boyd**

Department of Physics and  
School of Electrical Engineering and Computer Science  
University of Ottawa

The Institute of Optics and  
Department of Physics and Astronomy  
University of Rochester

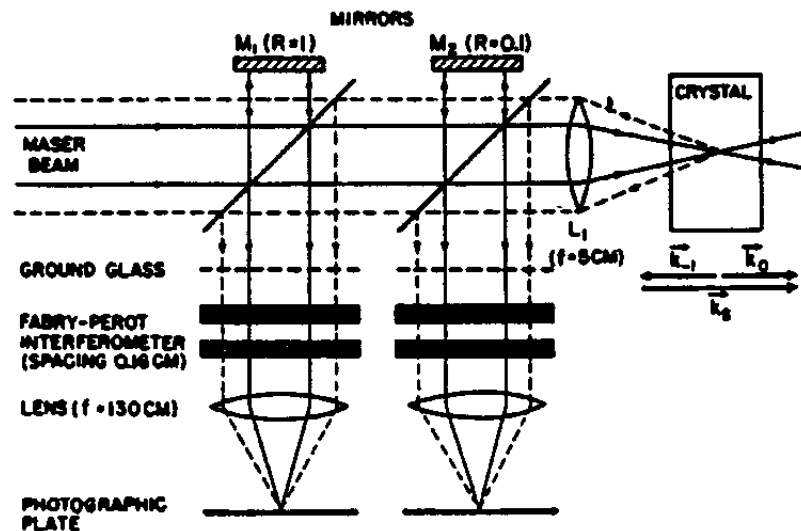
With many collaborators, especially Ksenia Dolgaleva and Jean-Michel M enard.

The visuals of this talk will be posted at [boydnlo.ca/presentations](http://boydnlo.ca/presentations)

Presented at the 48th Conference on Infrared, Millimeter, and Terahertz Waves,  
Montr eal QC, Canada, September 18, 2023.

# Nonlinear Optics of Infrared Radiation

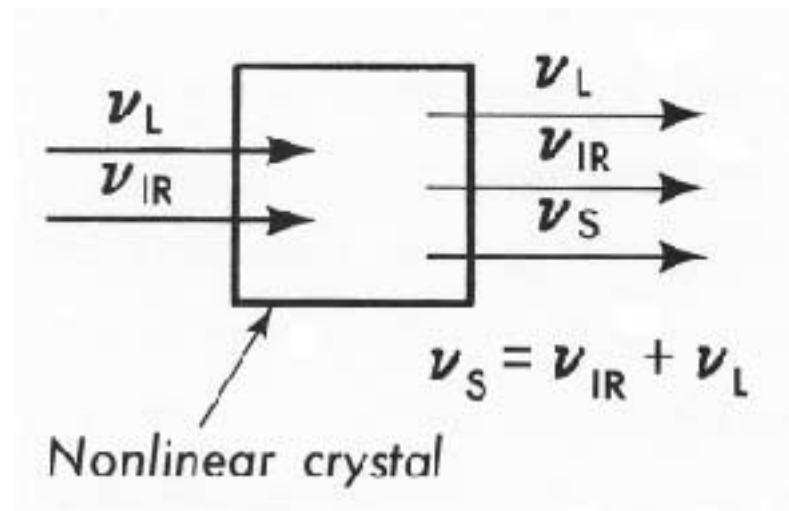
- The early experiments of nonlinear optics were all performed using a ruby laser operating at 6943 angstroms.
- By certain definitions, 6943 angstroms is an infrared wavelength.
- But for the purposes of this talk, I will exclude ruby laser experiments from the discussion of nonlinear optics of IR radiation



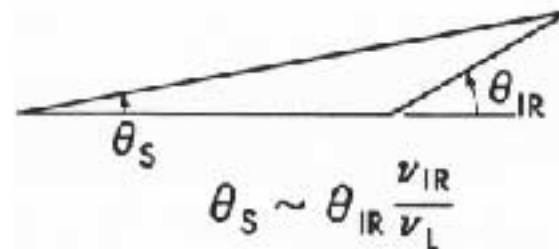
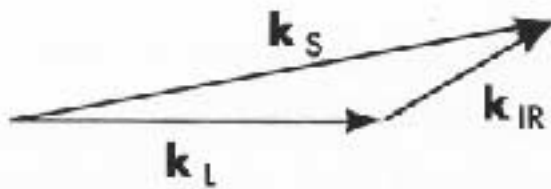
Stimulated Brillouin Scattering, Chiao, Townes, and Stoicheff (1964)

# Imaging Upconversion

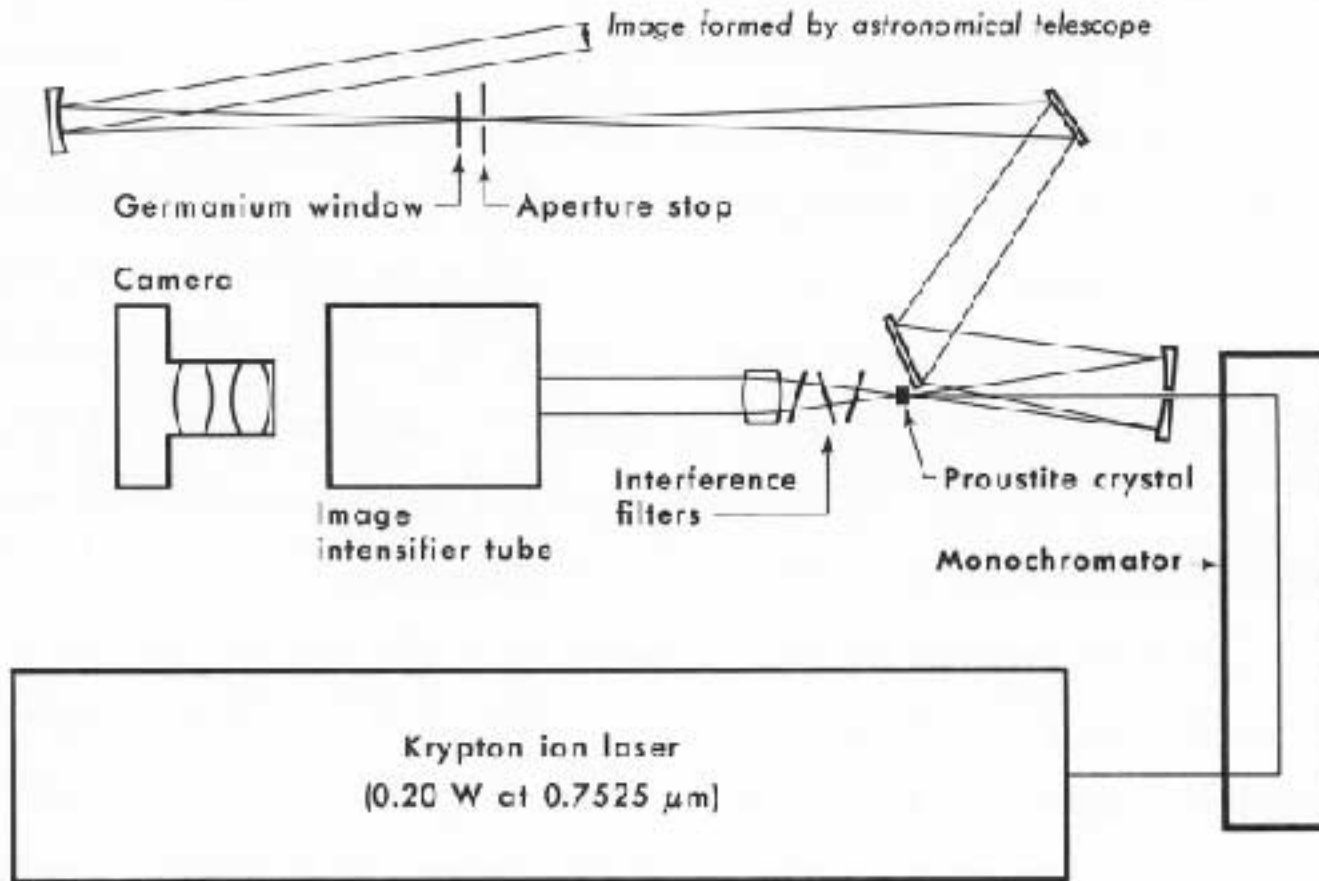
"Noise-free" conversion of infrared images to the visible.  
Proposed by Midwinter and Warner (1967).



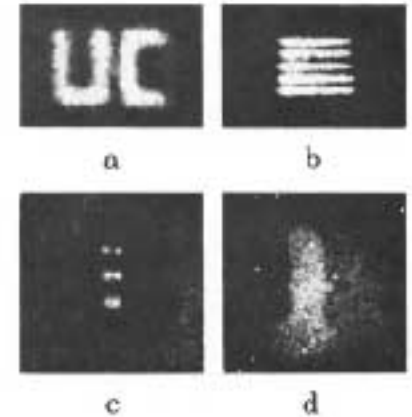
Phase-matching requirements ensure that image information is preserved.



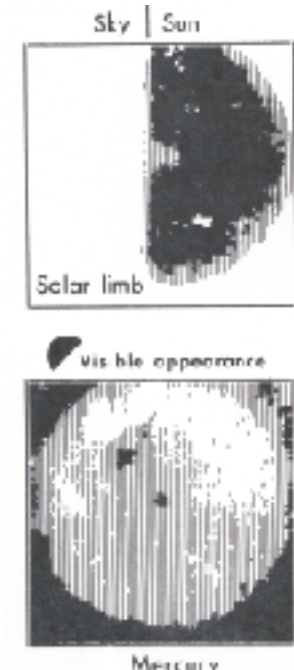
# Astronomical Imaging Upconversion



laboratory sources



astronomical sources



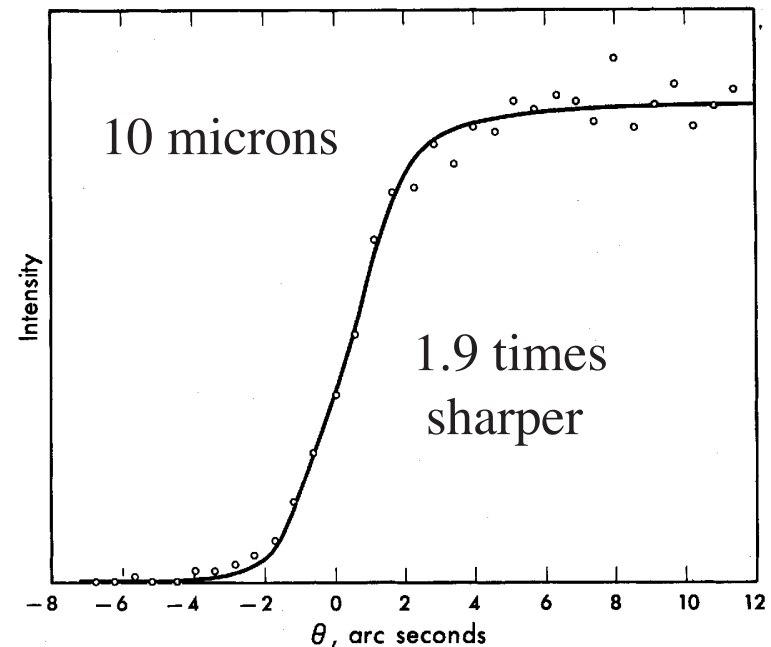
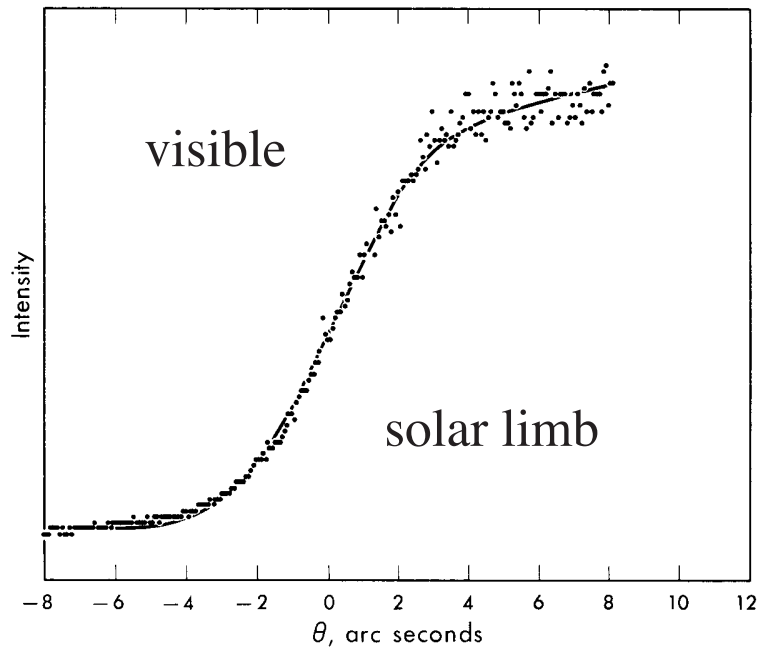
R. W. Boyd and C. H. Townes Appl. Phys. Lett. 33 440 (1977).

# Resolution of Astronomical Telescopes

---

---

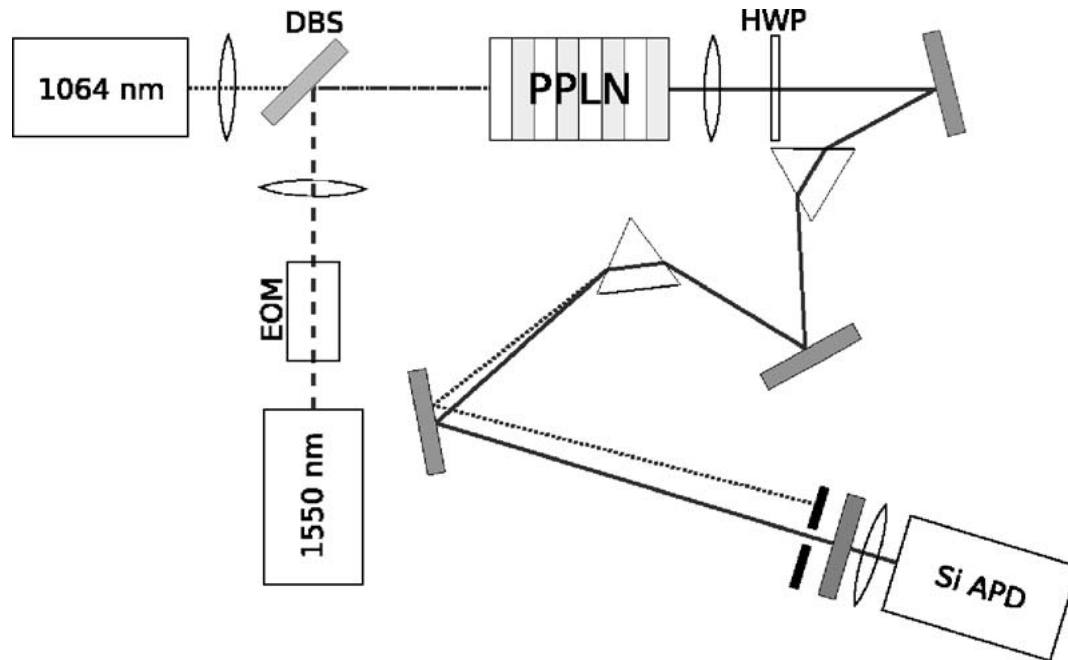
- Wavelength dependence under turbulence-dominated conditions
- Images are sharper in the infrared than in the visible!  
(D. L. Fried, R. E. Hufnagel, V. I. Tatarski)
- IR data obtained using infrared upconversion



R. W. Boyd, J. Opt. Soc. Am. 68, 877, 1978.

# Upconversion of Single Photons with 99% Efficiency

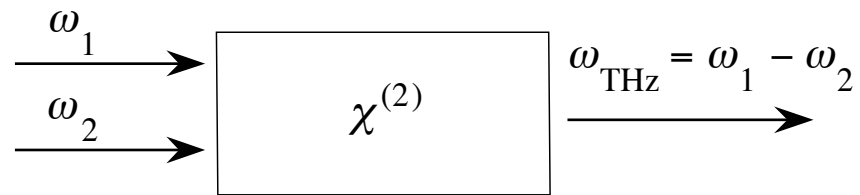
- Uses pulsed pump laser (high peak power)
- Uses periodically poled lithium niobate (highly nonlinear)
- Detects single-transverse mode field (no image information)



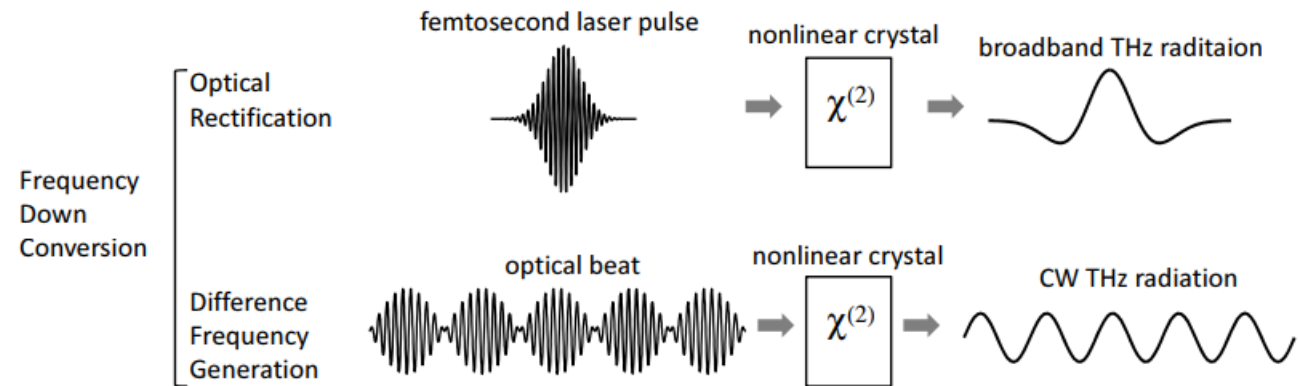
Extremely promising for quantum information studies. (Preserves coherence.)

# Nonlinear Optical Methods to Generate THz Radiation

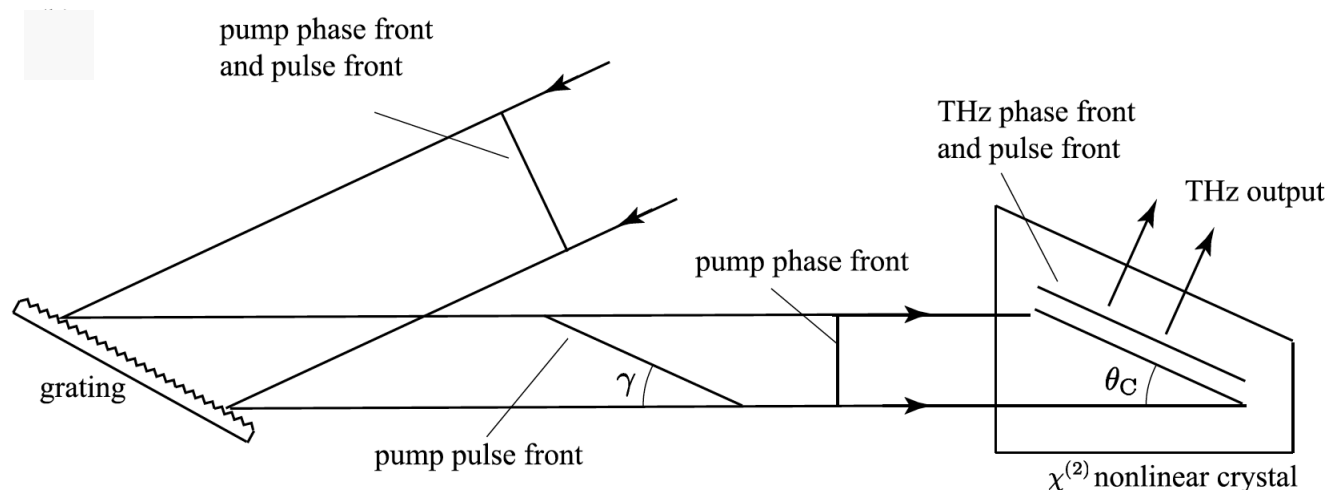
- Difference-frequency generation



- Optical rectification



- Hebling's tilted pulse-front method



# Huge Phonon-Mediated THz Nonlinear Response

---

Recent theoretical and laboratory work has shown that third-order nonlinear response ( $\chi^{(3)}$  and  $n_2$ ) are orders of magnitude larger than values for the same material at visible frequencies.

Theoretical models that accurately describe these results ascribe the origin of this huge response to vibrational (phonon) resonances.



In collaboration with



**Prof. Sergei Kozlov**

**Daria Materikina**

**State University of Information Technologies, Mechanics and Optics, Saint Petersburg, Russia**

K. Dolgaleva, D. Materikina, R. W. Boyd, and S. A. Kozlov, "Prediction of Extremely Large Nonlinear Refractive Index for Crystals at Terahertz Frequencies," *Phys. Rev. A* **92**, 023809 (2015).

# Prediction of extremely large value of $n_2$



Polarization  $P = \chi_{\text{eff}} E$

Susceptibility  $\chi_{\text{eff}} = \chi^{(1)} + 3\chi^{(3)} |E|^2$

Refractive index

$$\tilde{n} = \sqrt{1 + 4\pi\chi_{\text{eff}}} = \tilde{n}_0 + \tilde{n}_2 I$$

**Kerr coefficient  
or nonlinear  
refractive index**

(We use Gaussian units but convert to SI at the end.)



# Electronic and Vibrational Response



$$P = P_{\text{el}} + P_{\text{v}} = \chi_{\text{eff}} E$$

electronic      vibrational

$$\chi_{\text{eff}} = \chi^{(1)} + 3\chi^{(3)} |E|^2$$

$$\chi^{(1)} = \chi_{\text{el}}^{(1)} + \chi_{\text{v}}^{(1)} \quad \chi^{(3)} = \chi_{\text{el}}^{(3)} + \chi_{\text{v}}^{(3)}$$

**Dominant at THz frequencies**



# Our Model



We analyze the vibrational nonlinearity of a crystal by considering the dynamics of ions in the lattice

1. Starting from the classical anharmonic oscillator:

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2x + ax^2 + bx^3 = \alpha E$$

deviation of  
an ion

damping  
coefficient

nonlinear  
coefficients

$$\alpha = \frac{q}{m}$$

2. Perturbation theory:

$$\ddot{x} + 2\gamma\dot{x} + \omega_0^2x + ax^2 + bx^3 = \lambda\alpha E$$

Look for a solution in the form

$$x = \lambda x^{(1)} + \lambda^2 x^{(2)} + \lambda^3 x^{(3)} + \dots$$

$$0 \leq \lambda \leq 1$$



# Our Model



3. Split into three equations:

$$\ddot{x}^{(1)} + 2\gamma\dot{x}^{(1)} + \omega_0^2 x^{(1)} = \alpha E,$$

$$\ddot{x}^{(2)} + 2\gamma\dot{x}^{(2)} + \omega_0^2 x^{(2)} + a[x^{(1)}]^2 = 0,$$

$$\ddot{x}^{(3)} + 2\gamma\dot{x}^{(3)} + \omega_0^2 x^{(3)} + 2ax^{(1)}x^{(2)} + b[x^{(1)}]^3 = 0.$$

Consider the oscillations of the ions at the fundamental frequency:

$$E(\omega) = E_\omega e^{-i\omega t} + \text{c. c.}$$

$$x = x_\omega e^{-i\omega t} + \text{c. c.}$$



# Our Model



## 4. Final expression for $x_\omega$ :

$$x_\omega = \frac{\alpha E_\omega}{\omega_0^2 - \omega^2 - 2\gamma i\omega} + \frac{1}{(\omega_0^2 - \omega^2 - 2\gamma i\omega)^4} \\ \times \left[ 2a^2 \alpha^3 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i\omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i\omega)} + 3b\alpha^3 \right] |E_\omega|^2 E_\omega$$



# Our Model



## 5. Relating the deviation to the polarization:

$$P = P_{\text{el}} + P_{\text{v}} = Nqx = P_{\omega} e^{-i\omega t} + \text{c. c.}$$

electronic

vibrational

$$P_{\omega} = \chi^{(1)} E_{\omega} + 3\chi^{(3)} |E_{\omega}|^2 E_{\omega}$$

$$P_{\omega} = \chi_{\text{eff}} E_{\omega}$$

**Effective susceptibility:**

$$\begin{aligned} \chi_{\text{eff}} &= \chi^{(1)} + 3\chi^{(3)} |E_{\omega}|^2 \\ &= \chi_{\text{el}}^{(1)} + \chi_{\text{v}}^{(1)} + 3\chi_{\text{el}}^{(3)} |E_{\omega}|^2 + 3\chi_{\text{v}}^{(3)} |E_{\omega}|^2 \end{aligned}$$



# Our Model



## 6. Expressing the susceptibilities:

$$\chi^{(1)} = qN \frac{\alpha}{\omega_0^2 - \omega^2 - 2\gamma i\omega}$$

$$\chi^{(3)} = \frac{qN}{3} \frac{\alpha^3}{(\omega_0^2 - \omega^2 - 2\gamma i\omega)^4} \times \left[ 2a^2 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i\omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i\omega)} + 3b \right]$$





# Our Model



## 7. Expressing the complex overall refractive index:

$$\tilde{n}^2 = 1 + 4\pi\chi_{\text{eff}}$$

$$\tilde{n} = \tilde{n}_0 + 2\tilde{\tilde{n}}_2|E_\omega|^2$$

$$\tilde{n}_0^2 + 4\tilde{n}_0\tilde{\tilde{n}}_2|E_\omega|^2 = 1 + 4\pi\chi^{(1)} + 12\pi\chi^{(3)}|E_\omega|^2$$

Expressing the linear and nonlinear complex refractive indices:

$$\tilde{n}_0 = \sqrt{1 + 4\pi\chi^{(1)}}$$

$$\tilde{\tilde{n}}_2 = \tilde{\tilde{n}}_{2,\text{el}} + \tilde{\tilde{n}}_{2,\text{v}} = \frac{3\pi\chi^{(3)}}{\tilde{n}_0}$$

electronic - vibrational



# Our Model



## 8. Final expression for the Kerr coefficient:

$$\tilde{\tilde{n}}_2 = \frac{\pi q N}{\tilde{n}_0} \frac{\alpha^3}{(\omega_0^2 - \omega^2 - 2\gamma i \omega)^4} \times \left[ 2a^2 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i \omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i \omega)} + 3b \right]$$



# Our Model



## 9. Nonlinear refractive index and absorption

$$\bar{n}_2 = \text{Re}(\tilde{\tilde{n}}_2) = 3\pi \text{Re} \left( \frac{\chi^{(3)}}{\sqrt{1 + 4\pi\chi^{(1)}}} \right)$$

$$\alpha_2 = \frac{2\omega}{c} \text{Im}(\tilde{\tilde{n}}_2) = 6\pi \frac{\omega}{c} \text{Im} \left( \frac{\chi^{(3)}}{\sqrt{1 + 4\pi\chi^{(1)}}} \right)$$



# Our Model



10. In the low-frequency  
limit:

$$\bar{n}_{2,v}^{\omega \ll \omega_0} \approx \frac{\pi q N}{n_0} \left( \frac{6a^2 \alpha^3}{\omega_0^{10}} + \frac{3b \alpha^3}{\omega_0^8} \right)$$

$$\bar{n}_{2,v}^{\omega \ll \omega_0} = \bar{n}_{2,v}^{(1)} + \bar{n}_{2,v}^{(2)}$$

Thermal expansion      Dynamic Stark effect

We next estimate the two contributions using the parameters of crystal quartz



# Crystal Quartz Parameters



$$\alpha_T = 7.6 \times 10^{-6} (\text{°C})^{-1} \quad \text{- thermal expansion coefficient}$$

$$\omega_0 = 2.34 \times 10^{14} \text{ rad} \quad \text{- vibrational resonance frequency}$$

$$m = 1.69 \times 10^{-23} \text{ g} \quad \text{- reduced mass of vibrational mode}$$

$$N = 2.65 \times 10^{22} \text{ cm}^{-3} \quad \text{- molecular density}$$

$$a_1 = 5.24 \text{ \AA} \quad \text{- lattice constant}$$

## Linear refractive index

$$n_0^{(\omega \ll \omega_0)} = \sqrt{1 + 4\pi\chi_{\text{el}}^{(1), \omega \ll \omega_0} + 4\pi\chi_{\text{v}}^{(1), \omega \ll \omega_0}} = 2.1$$

$$n_{0, \text{el}}^{(\omega \ll \omega_0)} = 1.4 \longrightarrow n_{0, \text{v}}^{(\omega \ll \omega_0)} = 1.8$$



# Numerical Example



First – in terms of thermal expansion coefficient

$$\bar{n}_{2,v}^{(1)} = \frac{\pi q N}{n_0} \frac{6a^2 \alpha^3}{\omega_0^{10}}$$

$$\alpha_T = -\frac{ak_B}{m\omega_0^4 a_1}$$

$$\alpha = \frac{\omega_0^2}{4\pi q N} [(n_{0,v}^{\omega \ll \omega_0})^2 - 1]$$



# Numerical Example



Estimated values of  $n_2$  :

**The two contributions:**

$$\bar{n}_{2,v}^{(1)} \approx 2.24 \times 10^{-9} \text{ esu}$$

$$\bar{n}_{2,v}^{(2)} \approx -3.27 \times 10^{-11} \text{ esu}$$

**In esu:**

$$\bar{n}_{2,v} \approx 2.21 \times 10^{-9} \text{ esu}$$

**In  $\text{m}^2/\text{W}$ :**

$$n_{2,v}^{(\omega \ll \omega_0)} = 4.42 \times 10^{-16} \text{ m}^2/\text{W}$$

**Compare to the value in visible:**

$$n_2 = 3 \times 10^{-20} \text{ m}^2/\text{W}$$

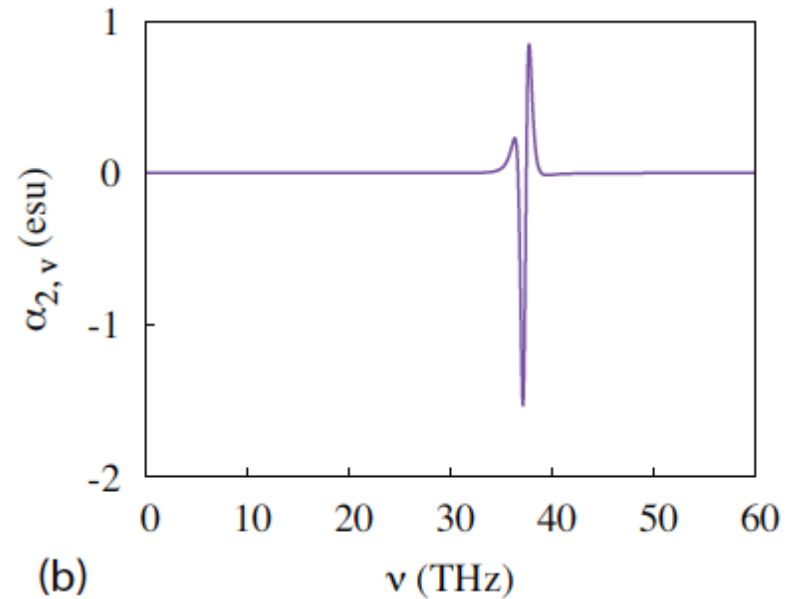
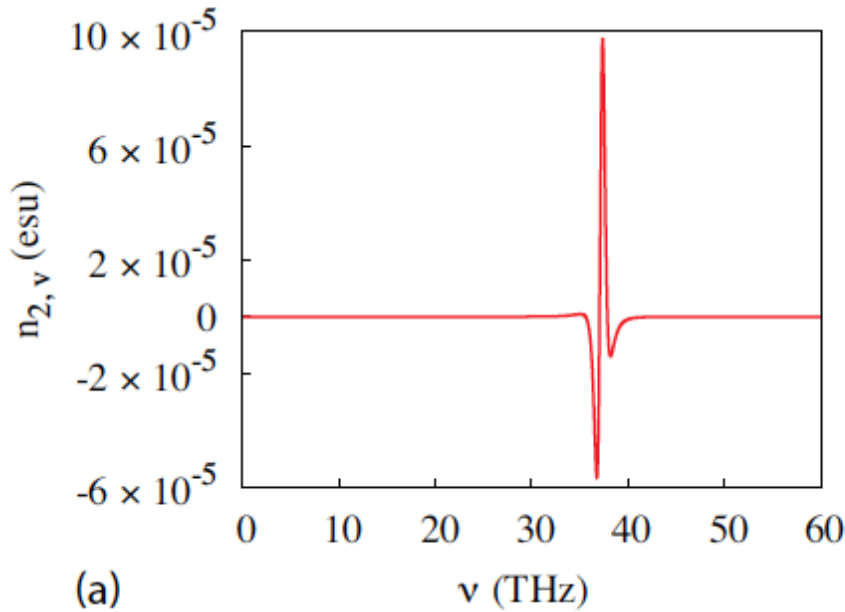


# Dispersion Curves



Strong resonance at 37.2 THz.  
Weaker resonances at 7.9 and 3.9 THz.

$$\tilde{n}_2 = \frac{\pi q N}{\tilde{n}_0} \frac{\alpha^3}{(\omega_0^2 - \omega^2 - 2\gamma i \omega)^4} \times \left[ 2a^2 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i \omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i \omega)} + 3b \right]$$



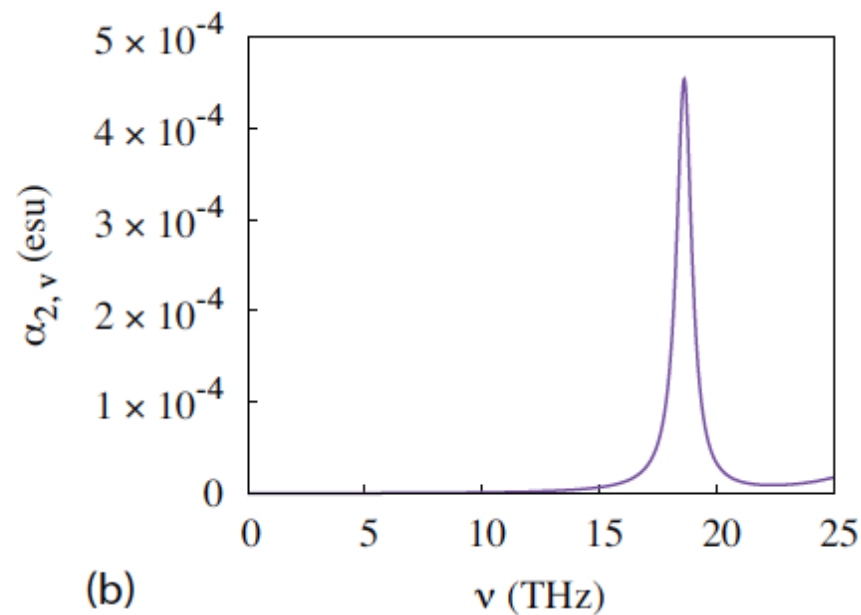
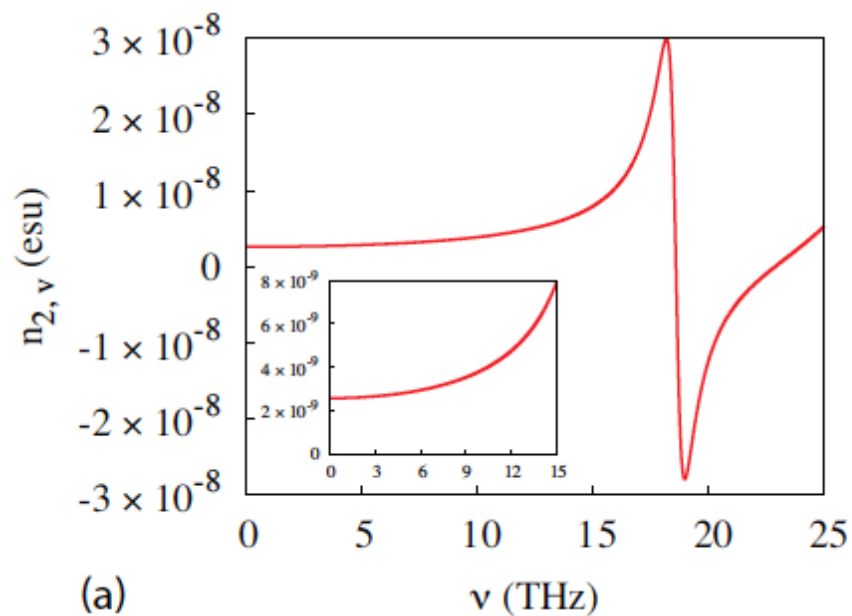




# Dispersion Curves

A two-photon resonance occurs at 37.2 THz/2 = 18.6 THz

$$\tilde{n}_2 = \frac{\pi q N}{\tilde{n}_0} \frac{\alpha^3}{(\omega_0^2 - \omega^2 - 2\gamma i \omega)^4} \times \left[ 2a^2 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i \omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i \omega)} + 3b \right]$$



# In Summary:



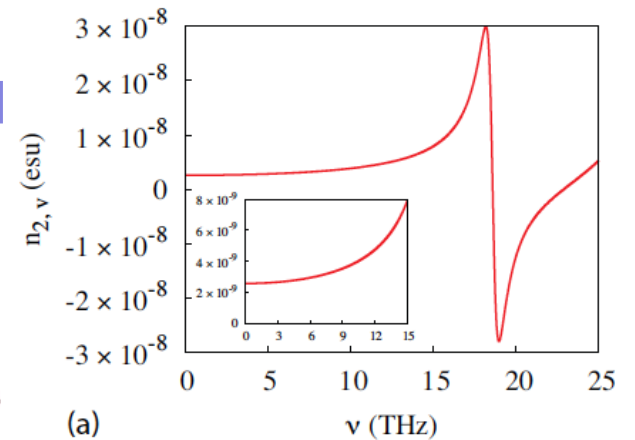
❖ Simple model is proposed

$$\tilde{n}_2 = \frac{\pi q N}{\tilde{n}_0} \frac{\alpha^3}{(\omega_0^2 - \omega^2 - 2\gamma i \omega)^4} \times \left[ 2a^2 \frac{3\omega_0^2 - 8\omega^2 - 8\gamma i \omega}{\omega_0^2(\omega_0^2 - 4\omega^2 - 4\gamma i \omega)} + 3b \right]$$

❖ Estimated value of vibrational  $n_2$  in THz range is very large

$$n_{2,v}^{(\omega \ll \omega_0)} = 4.42 \times 10^{-16} \text{ m}^2/\text{W}$$

❖ Interesting resonant behaviour is revealed



# Measurement of Nonlinear Optical Response of Quartz

---

**RESEARCH ARTICLE**

ADVANCED  
OPTICAL  
MATERIALS

[www.advopticalmat.de](http://www.advopticalmat.de)

## Strong Nonlinear Response in Crystalline Quartz at THz Frequencies

*Soheil Zibod, Payman Rasekh, Murat Yildirim, Wei Cui, Ravi Bhardwaj, Jean-Michel Ménard, Robert W. Boyd, and Ksenia Dolgaleva\**

Adv. Optical Mater. 2023, 2202343

# Previous works

$$10^2 \times n_2^{\text{opt}}$$

## Terahertz Kerr effect

Matthias C. Hoffmann,<sup>1,2,a)</sup> Nathaniel C. Brandt,<sup>2</sup> Harold Y. Hwang,<sup>2</sup> Ka-Lo Yeh,<sup>2</sup> and Keith A. Nelson<sup>2</sup>

$$10^6 \times n_2^{\text{opt}}$$

## Giant Third-Order Nonlinear Response of Liquids at Terahertz Frequencies

Anton Tcypkin<sup>1,\*</sup> Maria Zhukova<sup>1</sup> Maksim Melnik<sup>1</sup> Irina Vorontsova<sup>1</sup> Maksim Kulya<sup>1</sup> Sergey Putilin,<sup>1</sup> Sergei Kozlov<sup>1</sup> Saumya Choudhary<sup>2</sup> and Robert W. Boyd<sup>2,3</sup>

$$10^2 \times n_2^{\text{opt}}$$

## Terahertz-induced Kerr effect in amorphous chalcogenide glasses

M. Zalkovskij,<sup>1,a)</sup> A. C. Strikwerda,<sup>1</sup> K. Iwaszczuk,<sup>1</sup> A. Popescu,<sup>2</sup> D. Savastru,<sup>2</sup> R. Mătureanu,<sup>1</sup> A. V. Lavrinenko,<sup>1</sup> and P. H. Linden<sup>1</sup>

$$10^{24} \times n_2^{\text{opt}}$$

## Terahertz Nonlinear Spectroscopy of Water Vapor

Payman Rasekh,<sup>\*</sup> Akbar Safari,<sup>\*</sup> Murat Yildirim, Ravi Bhardwaj, Jean-Michel Ménard, Ksenia Dolgaleva, and Robert W. Boyd

$$10^3 \times n_2^{\text{opt}}$$

## Terahertz Kerr effect in gallium phosphide crystal

M. Cornet,<sup>1,2</sup> J. Degert,<sup>1,2,\*</sup> E. Abraham,<sup>1,2</sup> and E. Freysz<sup>1,2</sup>

## Prediction of an extremely large nonlinear refractive index for crystals at terahertz frequencies

Ksenia Dolgaleva,<sup>1,\*</sup> Daria V. Materikina,<sup>2</sup> Robert W. Boyd,<sup>1</sup> and Sergei A. Kozlov<sup>2</sup>

$$10^4 \times n_2^{\text{opt}}$$



# Detection: Electro-Optic Sampling

## Pockels effect

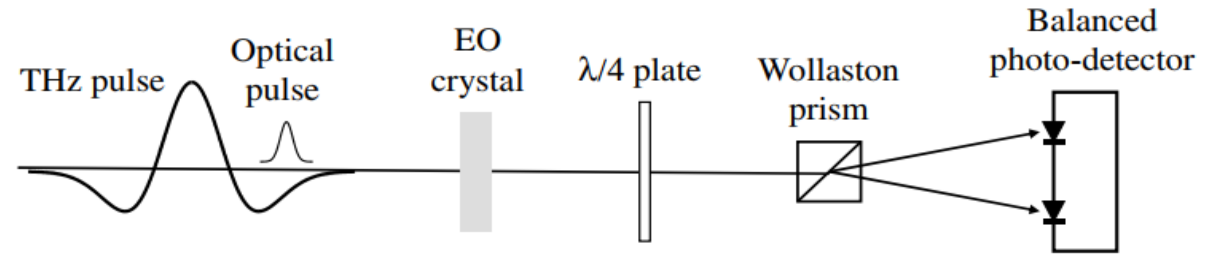
$$P_i = 2 \sum_{j,k} \epsilon_0 \chi_{i,j,k}^{(2)}(\omega, \omega, 0) E_j(\omega) E_k(0)$$

$$\text{Phase retardation } \Delta\phi = \frac{\omega L}{c} n_0^3 r_{41} E_{\text{THz}}$$

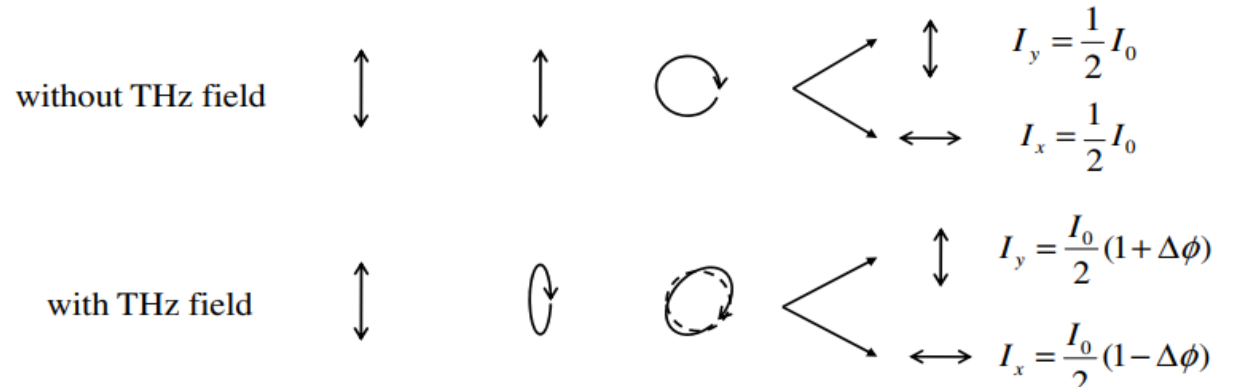
$$I_x = \frac{I_0}{2} (1 - \Delta\phi)$$

$$I_y = \frac{I_0}{2} (1 + \Delta\phi)$$

$$I_s = I_y - I_x \propto E_{\text{THz}}$$



Probe polarization



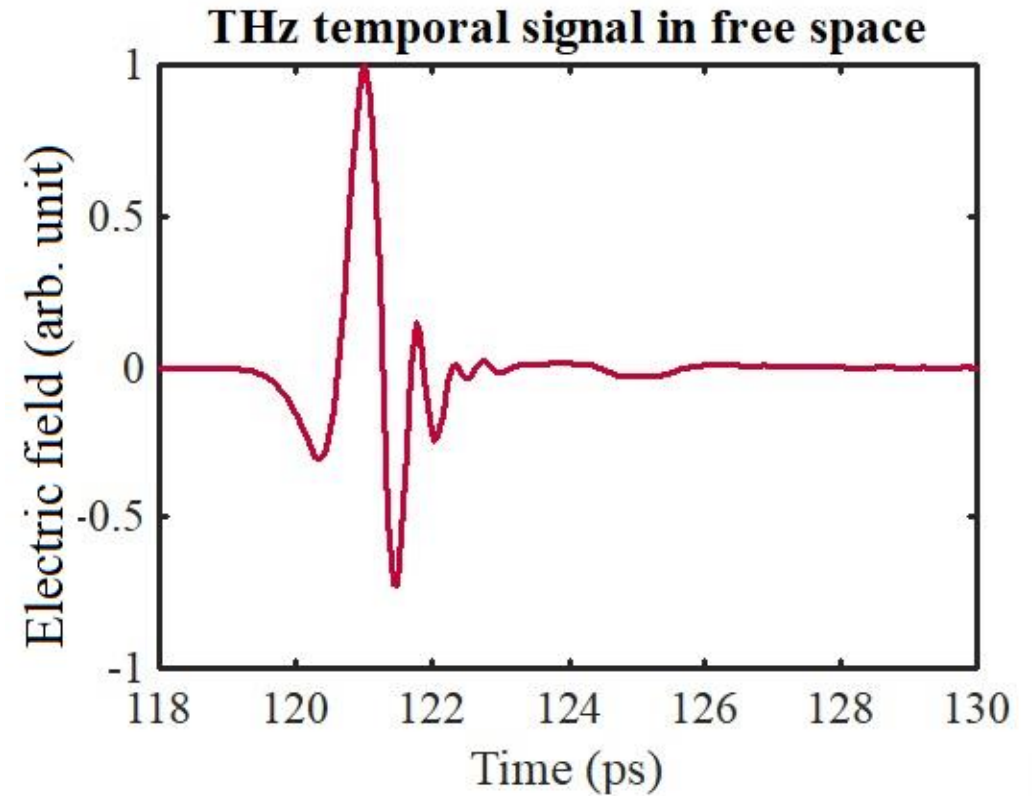
THz detection with EO sampling [1]



# THz Time Domain Spectroscopy (THz-TDS)

6

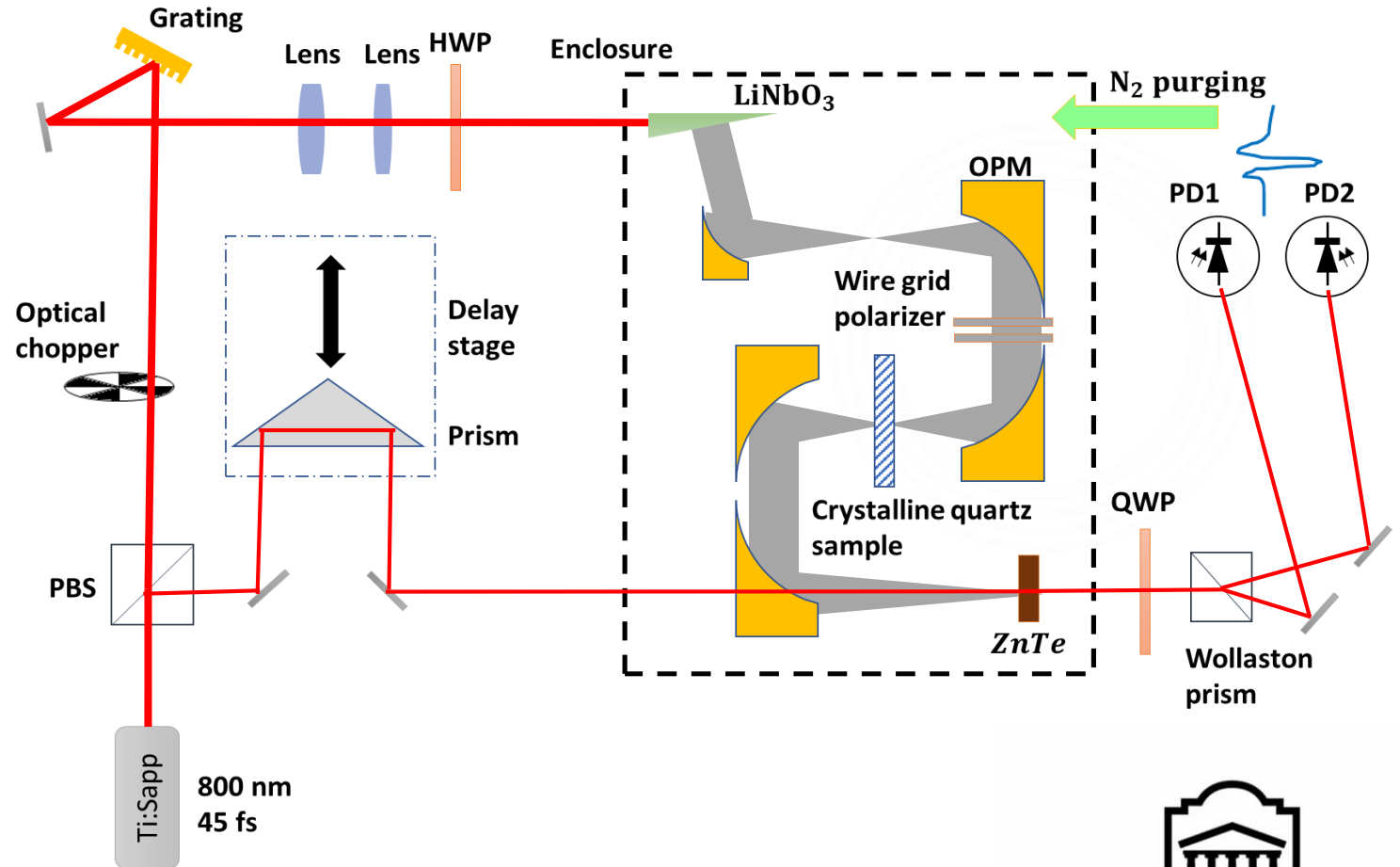
- Time domain
- Single-cycle pulse
- E/O sampling → magnitude and phase
- Absorption coefficient and refractive index



# Experimental Setup

7

- Optical Rectification in  $\text{LiNbO}_3$
- Pulse front tilting
- E/O sampling
- 225 kV/cm at focus
- 1mm crystalline quartz



# Nonlinear Delay

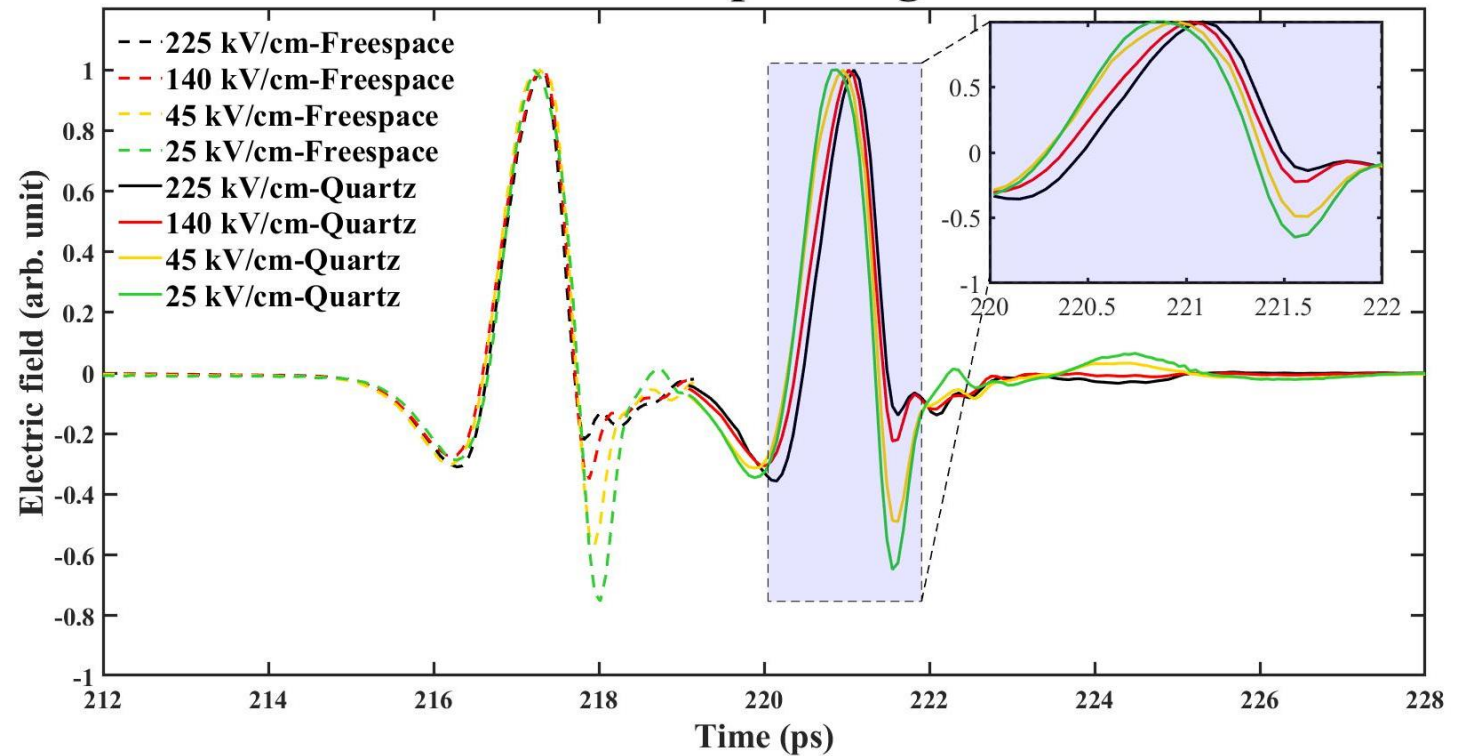
- Intense field → modified

refractive index:  $n = n_0 + n_2 I$

- $$\Delta\phi = \underbrace{n_0 k_0 L}_{\text{Linear phase}} + \underbrace{n_2 I k_0 L}_{\text{Nonlinear phase}}$$

- $$f(t - \tau) \xleftrightarrow{F} F(\omega) e^{-i\tau\omega}$$

THz temporal signal



Higher intensity → more delay





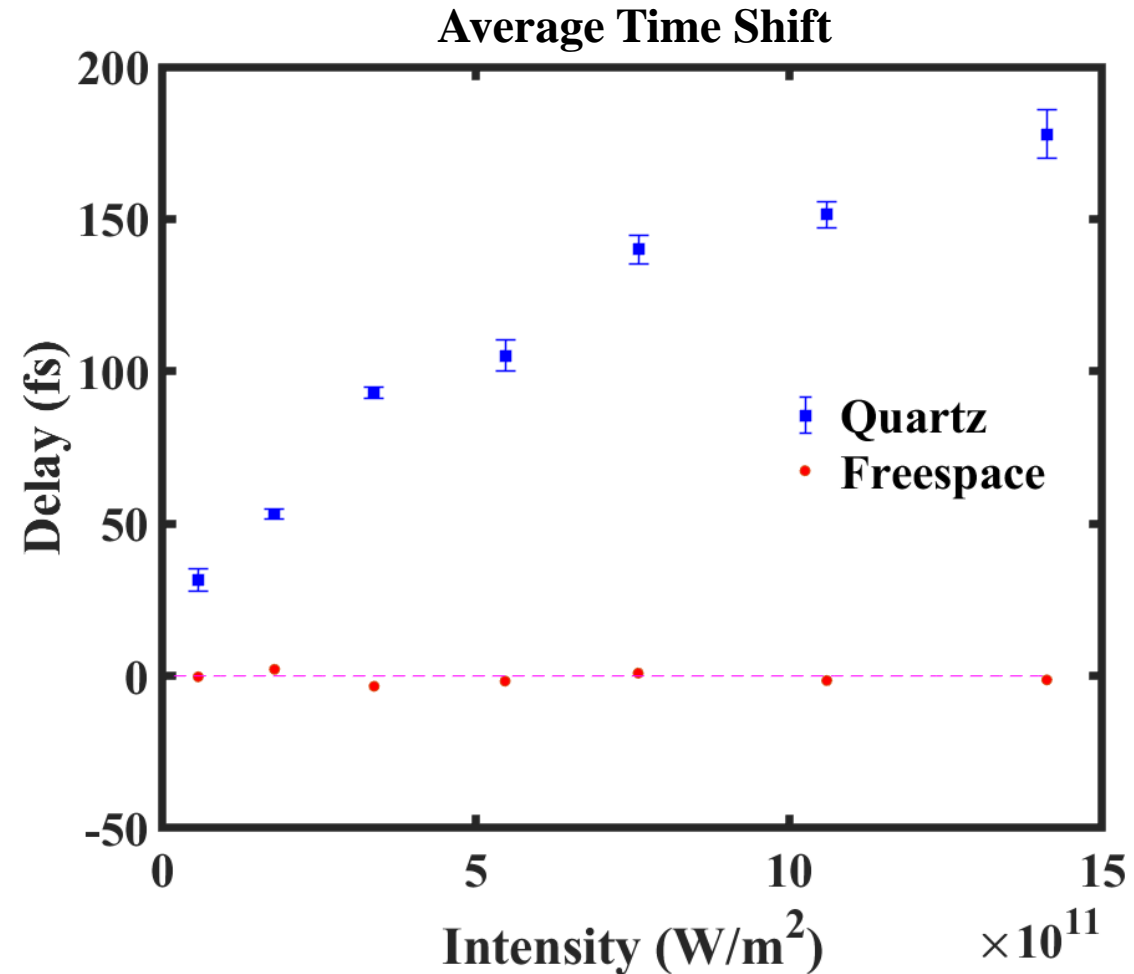
# Average Time Shift

- Main lobe
- Lowest signal level (25 kV/cm)

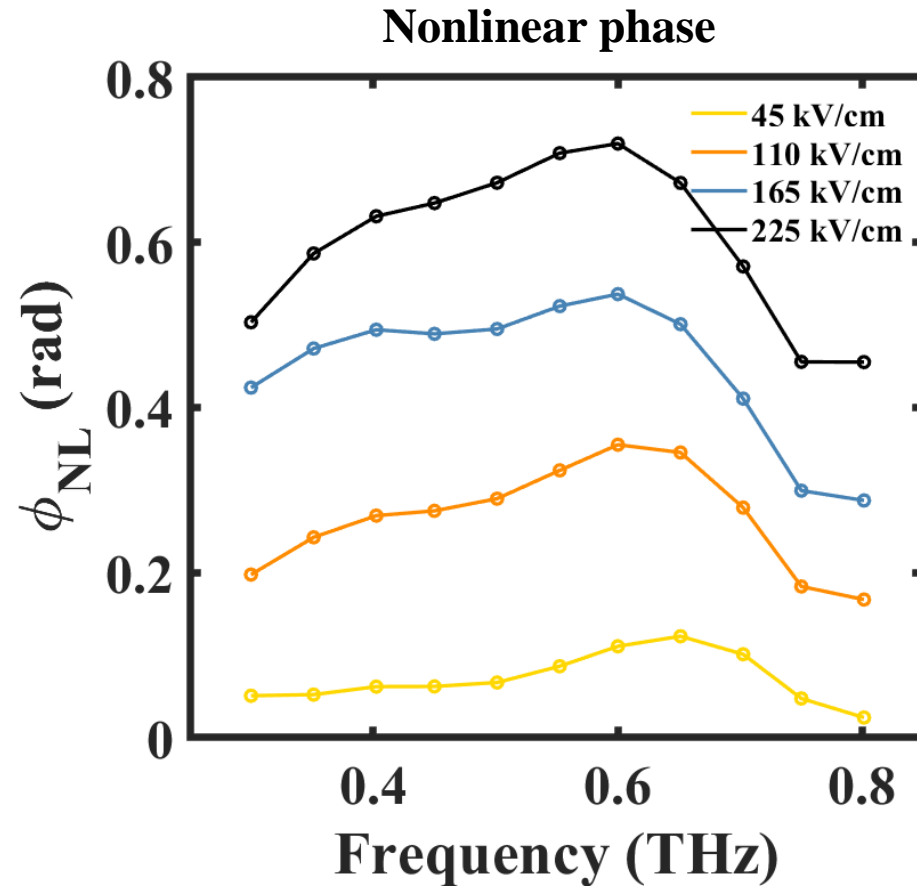
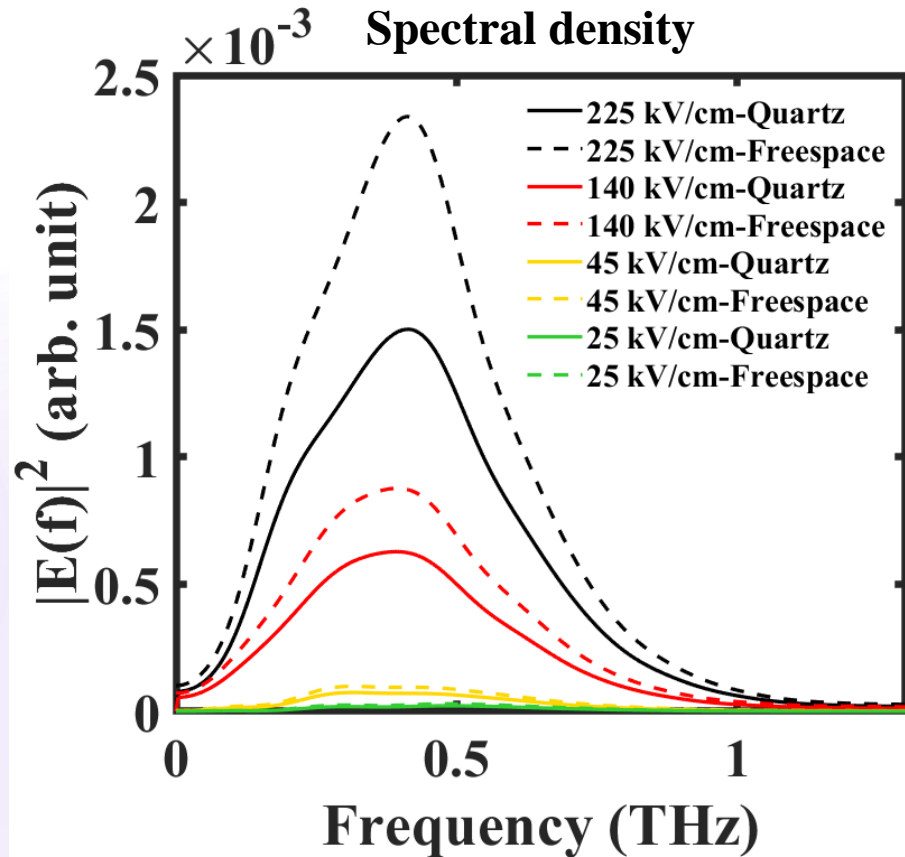
as linear response

- Average time delay

$$t_{av}^i = \text{avg} \left( \sum_k t(V_i = V_k) - t(V_{\text{low}} = V_k) \right)$$

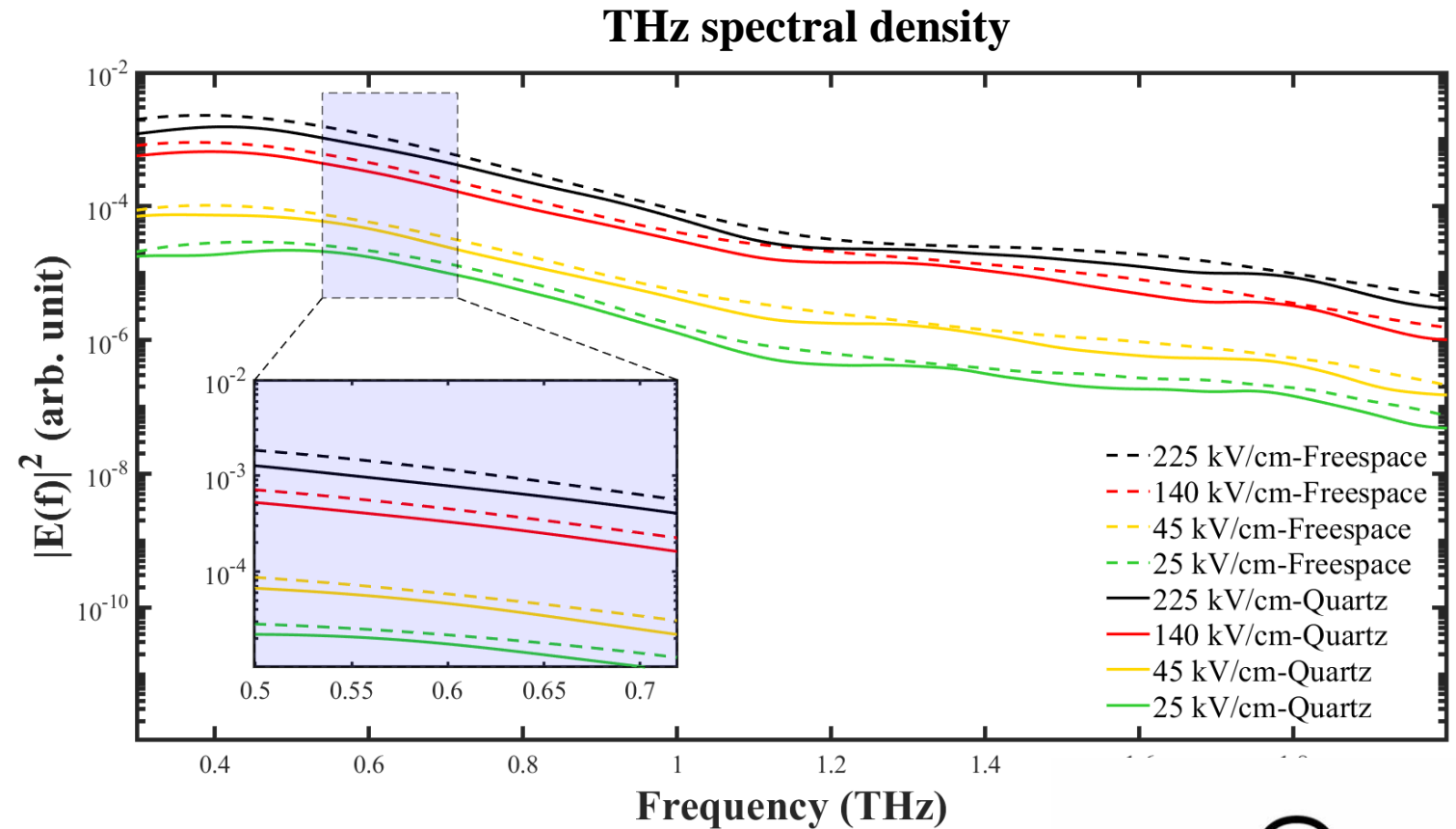


# Fourier Domain



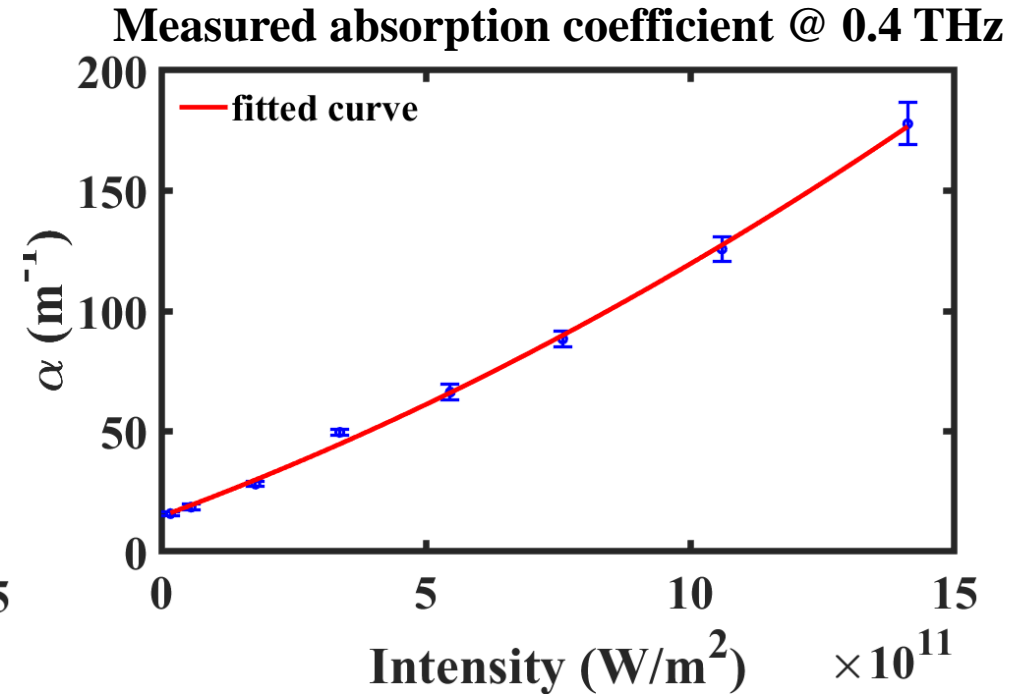
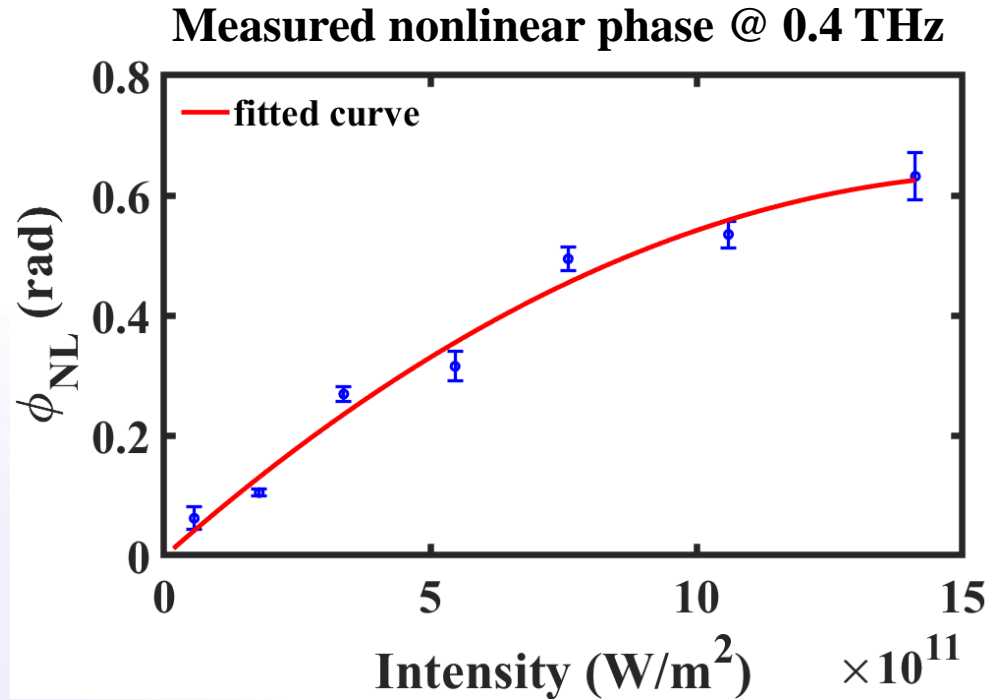
# Absorption Spectrum

- Difference between Freespace (dashed) and quartz sample (solid)



# Nonlinear Response

- $n_2 \rightarrow$  several orders of magnitude larger than optical value
- Negative real part  $\chi^{(5)}$
- Monochromatic field vs wideband pulse



$$\chi^{(3)} = 1.40 \times 10^{-15} + i7.49 \times 10^{-17} \text{ m}^2 / \text{V}^2$$
$$\rightarrow n_2 = 9.00 \times 10^{-14} \text{ m}^2 / \text{W}$$

$$\chi^{(5)} = -2.68 \times 10^{-30} + i1.49 \times 10^{-31} \text{ m}^4 / \text{V}^4$$



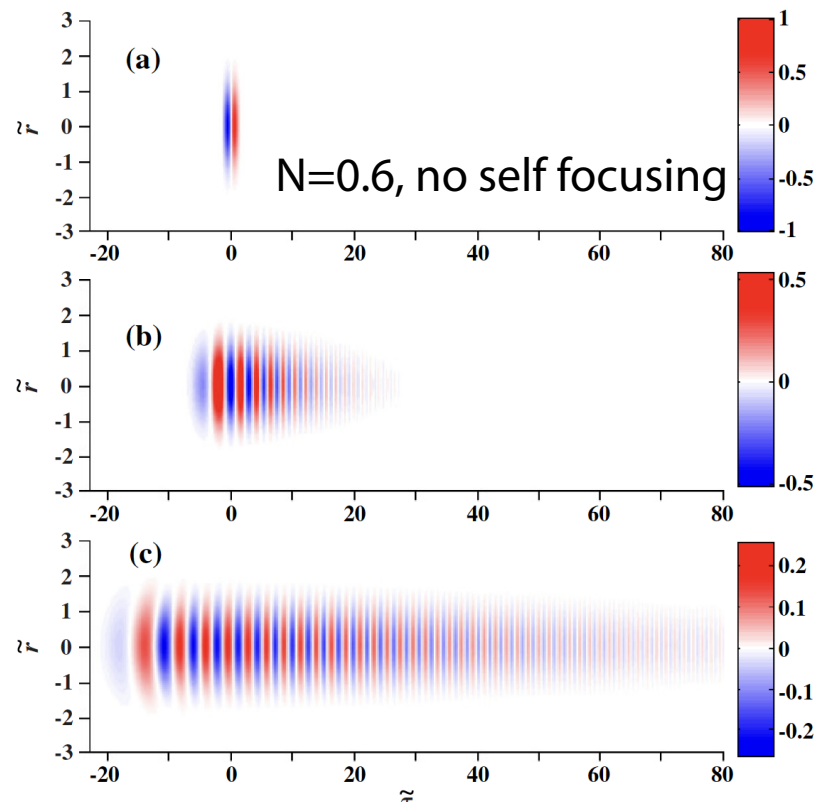
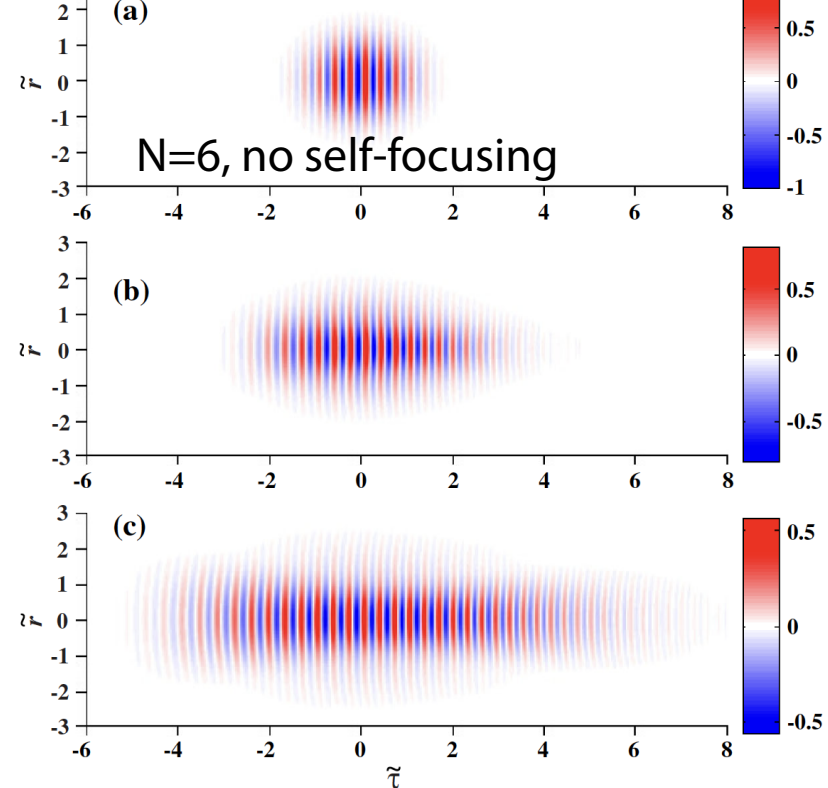
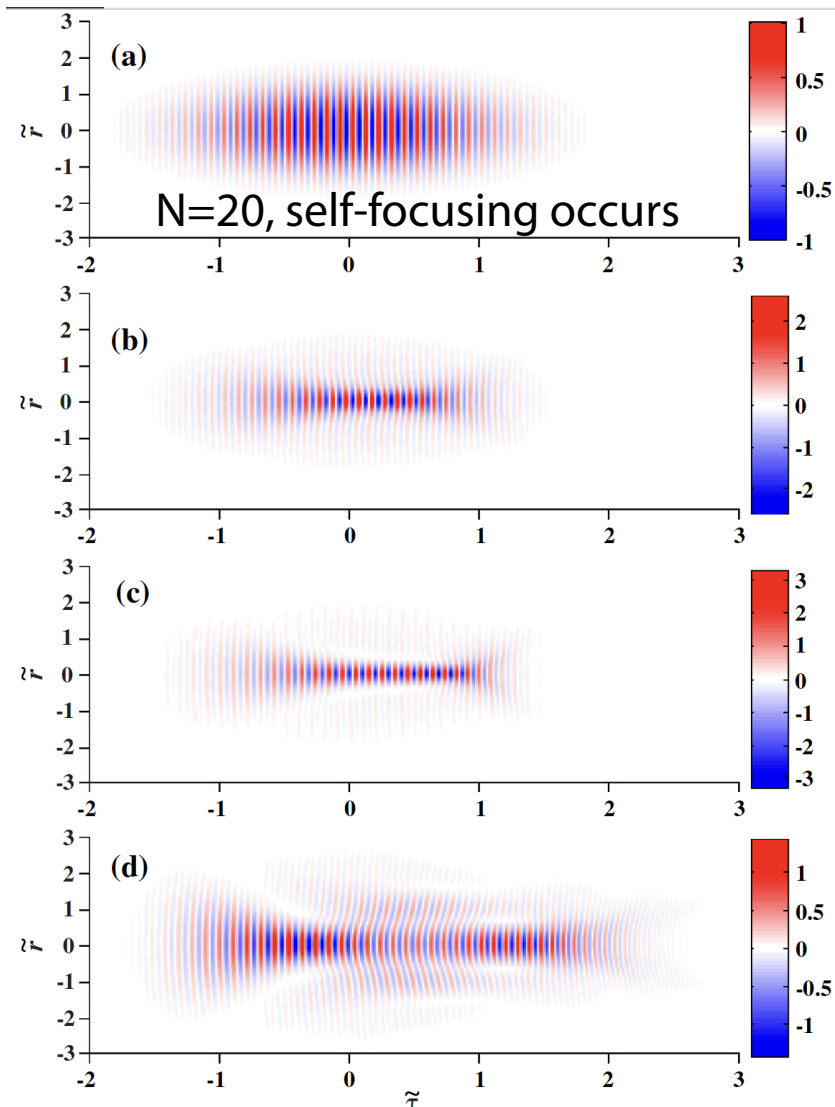
# Suppression of Self-Focusing for Few-Cycle Pulses

In all cases,  $P = 4 P_{cr}$

$N$  = Number of cycles in input pulse

Propagation direction is downward.

GVD suppresses self-focusing



# Broadband THz Bandpass Spectral Filters Based on Multilayer Metasurfaces

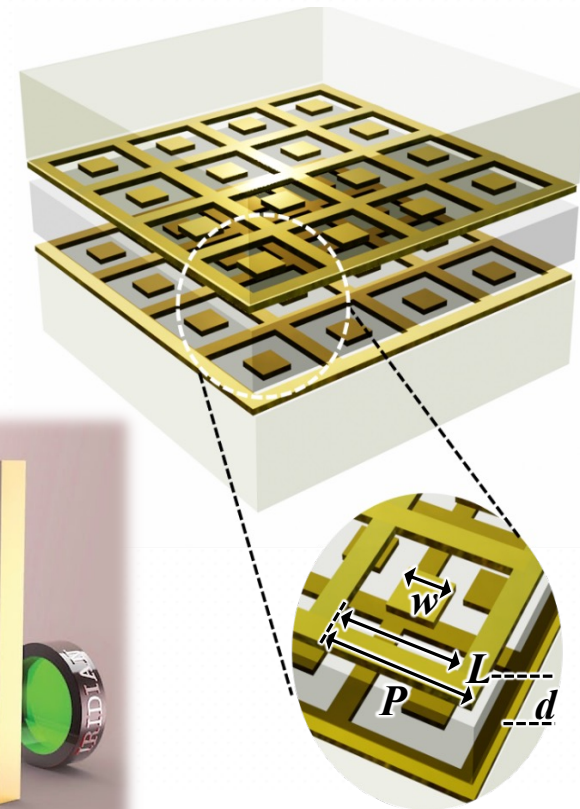
Joint project with Iridian Spectral Technologies  
Project led by Ali Makeki and Jean-Michel Ménard

- Dielectric metasurfaces
- **Plasmonic** metasurfaces
  - Easy to fabricate (**unlike** dielectric structures)
  - low plasmonic loss (unlike visible-NIR region)

Transmission can be controlled by flooding the filter with visible light.

Gingras et al., Optics Express 28, 395508  
(2020)

Maleki et al., Photonics Research 11, 526  
(2023)



# Some Comparisons

---

## **1. FIR and THz**

Light-matter interaction largely due to phonons, vibrational degree of freedom  
Strong light-matter coupling, leading for example to reststrahlen bands

## **2. Visible Light and Soft UV**

Light-matter interaction largely due to response of bound electrons  
Light-matter coupling is weaker than for vibrational degree of freedom

## **3. Short Ultraviolet**

Light-matter interaction largely due to free electrons  
Strong light-matter coupling, leading to the plasmonics

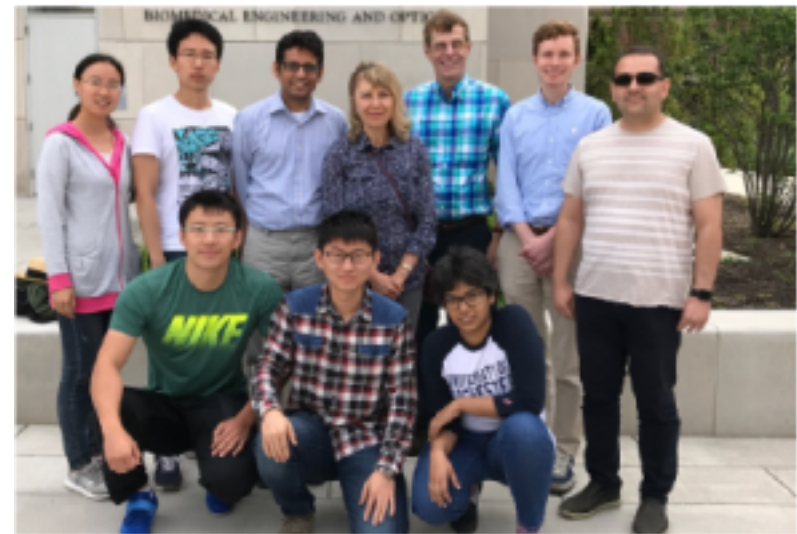
Light-matter interactions can lead to a negative dielectric permittivity for 1 and 3, but not for 2.

# Acknowledgments

This work was supported by the Natural Sciences and Engineering Research Council of Canada, the Canada Research Chairs program, the U.S. Office of Naval Research, and the U.S. National Science Foundation.

I thank all of my students and postdocs in the US and Canada.

Rochester Group



Ottawa Group

