





# **Introduction to Nonlinear Optics**

# **Robert W. Boyd**

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Les Houches, April 20, 2015.



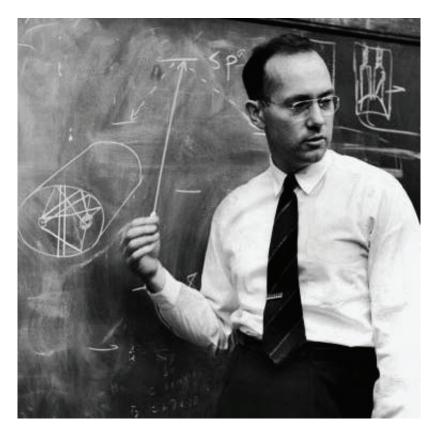
It is good fundamental physics.

It leads to important applications.

It is a lot of fun.

Demonstrate these features with examples in remainder of talk.

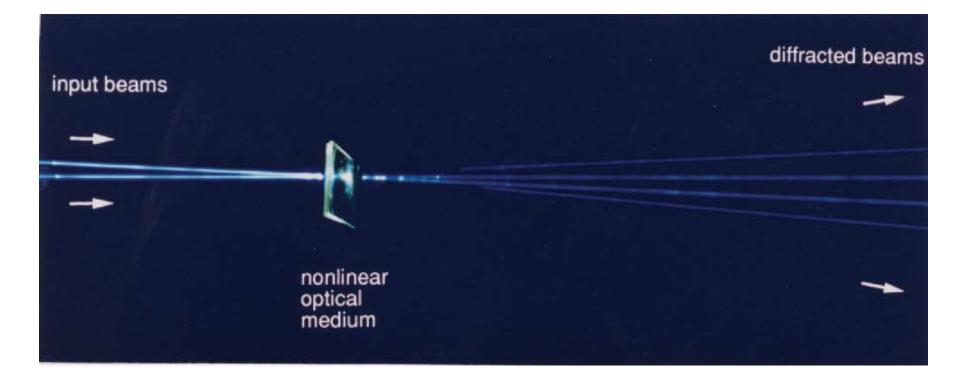
# Charles H. Townes July 28, 1915 to January 27, 2015



- Inventor of the maser and laser
- Nobel Prize, 1964
- Advisor to three US presidents
- Teacher, mentor, and friend

1. What is Nonlinear Optics?

# Nonlinear Optics and Light-by-Light Scattering

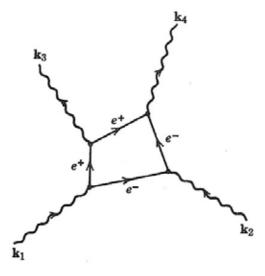


The elementary process of light-by-light scattering has never been observed in vacuum, but is readily observed using the nonlinear response of material systems.

Nonlinear material is fluorescein-doped boric acid glass (FBAG)

 $n_2$  (FBAG)  $\approx 10^{14} n_2$ (silica) [But very slow response!]

M. A. Kramer, W. R. Tompkin, and R. W. Boyd, Phys. Rev. A, 34, 2026, 1986. W. R. Tompkin, M. S. Malcuit, and R. W. Boyd, Applied Optics 29, 3921, 1990.



# **Nonlinear Optics**

THIRD EDITION





Robert W. Boyd



Simple Formulation of the Theory of Nonlinear Optics

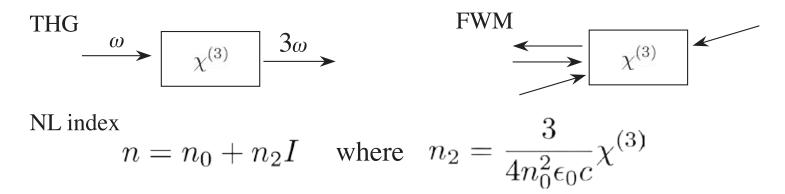
$$P = \chi^{(1)}E + \chi^{(2)}E^2 + \chi^{(3)}E^3 + \dots$$

Here *P* is the induced dipole moment per unit volume and E is the field amplitude

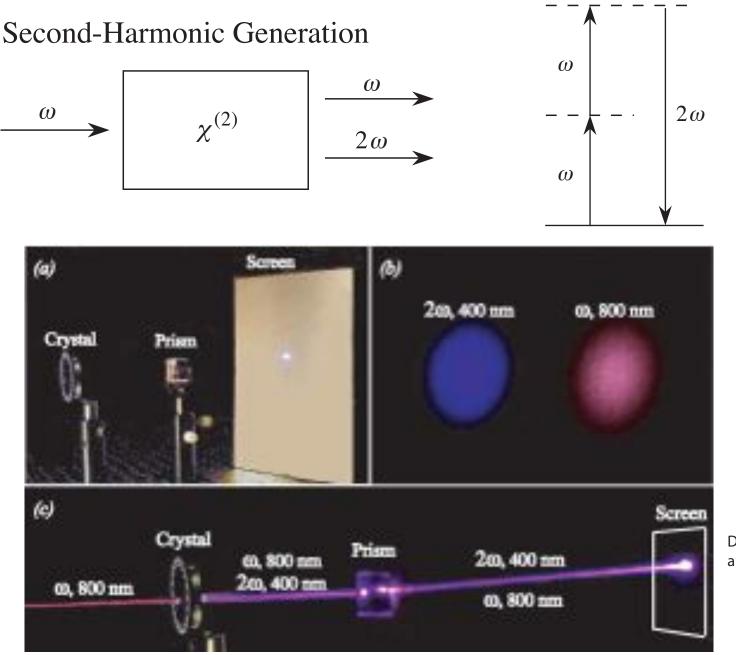
 $\chi^{(1)}$  describes linear optics, e.g., how lenses work: ()

 $\chi^{(2)}$  describes second-order effects, e.g., second-harmonic generation (SHG)

 $\chi^{(3)}$  describes third-order effects such as third-harmonic generation, four-wave mixing, and the intensity dependence of the index of refraction.

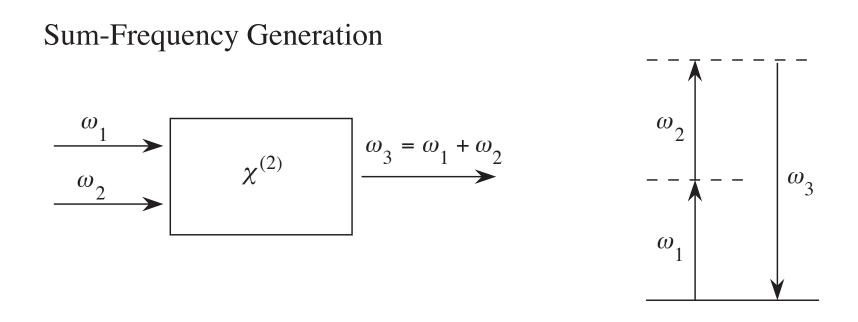


# Some Fundamental Nonlinear Optical Processes: I



Dolgaleva, Lepeshkin, and Boyd

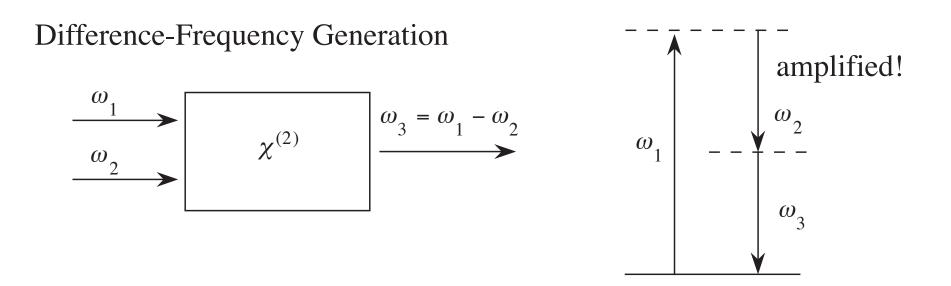
## Some Fundamental Nonlinear Optical Processes: II



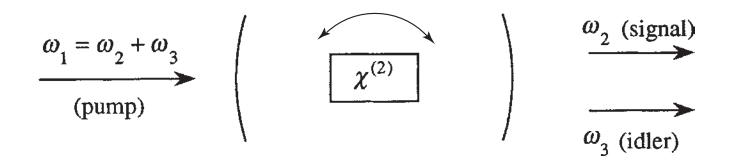
Applications:

- 1. Tunable UV radiation
- 2. Frequency upconversion for IR detection

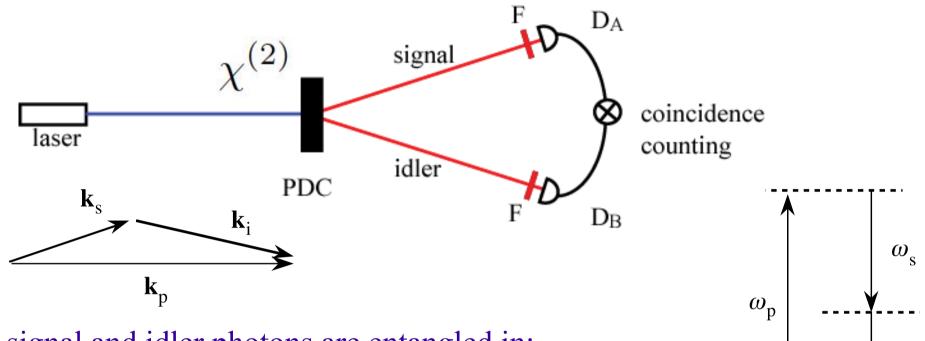
## Some Fundamental Nonlinear Optical Processes: III



### **Optical Parametric Oscillation**



## **Parametric Downconversion: A Source of Entangled Photons**



 $\omega_{i}$ 

The signal and idler photons are entangled in:

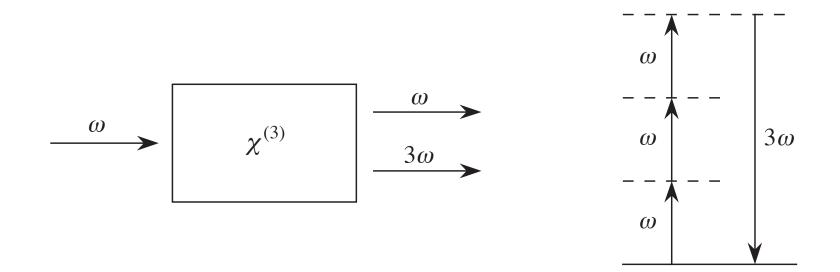
- (a) polarization
- (b) time and energy
- (c) position and transverse momentum
- (d) angular position and orbital angular momentum

Entanglement is important for:

- (a) Fundamental tests of QM (e.g., nonlocality)
- (a) Quantum technologies (e.g., secure communications)

## Some Fundamental Nonlinear Optical Processes: III

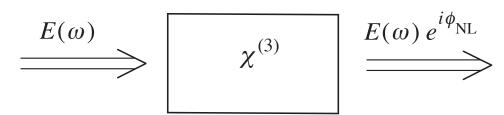
### Third-Harmonic Generation



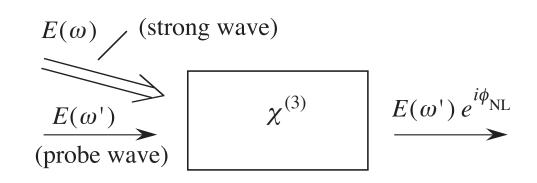
# Some Fundamental Nonlinear Optical Processes: IV

Intensity-Dependent Index of Refraction

• Single beam: self-phase modulation



• Two beam: cross-phase modulation



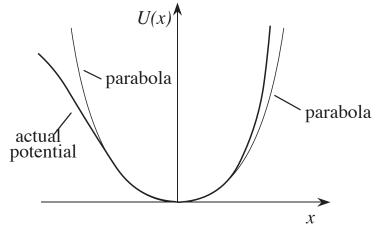
The refractive index is given by

 $n = n_0 + n_2 I$  where  $n_2 = \frac{3}{4n_0^2\epsilon_0 c}\chi^{(3)}$ 

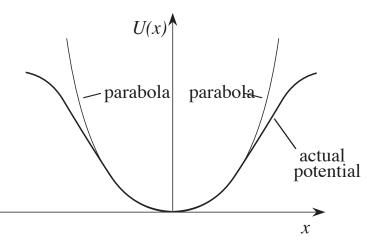
## Role of Material Symmetry in Nonlinear Optics

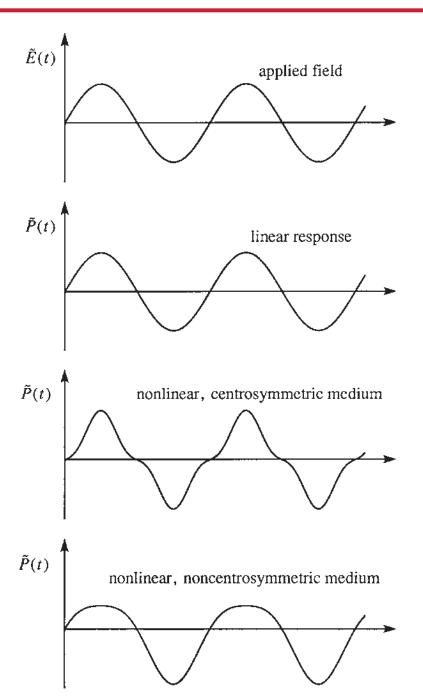
 $\chi^{(2)}$  vanishes identically for a material possessing a center of inversion symmetry (a centrosymmetric medium).





centrosymmetric medium





# 2. Coupled Wave Equations and Harmonic Generation

We have assumed that the pump wave  $E_1$  at frequency  $\omega$  is undepleted by the nonlinear interaction. We take  $A_2$  to be a function of zto allow the second harmonic wave to grow with z. We also set

 $\tilde{P}_2(t) = P_2 e^{-i2\omega t}$  where  $P_2 = \epsilon_0 \chi^{(2)} E_1^2 = \epsilon_0 \chi^{(2)} A_1^2 e^{i2k_1 z}$  (3) The generation of the wave at  $2\omega$  is governed by the wave equation

$$\nabla^2 \tilde{E}_2 - \frac{n^2}{c^2} \frac{\partial^2 \tilde{E}_2}{\partial t^2} = \frac{1}{\epsilon_0 c^2} \frac{\partial^2 \tilde{P}_2}{\partial t^2}.$$
(4)

Note that the first term in the wave equation is given by

$$\nabla^{2}\tilde{E}_{2} = \left[\frac{\partial^{2}\tilde{A}_{2}}{\partial z^{2}} + 2ik_{2}\frac{\partial\tilde{A}_{2}}{\partial z} - k_{2}^{2}A_{2}\right]e^{i(k_{2}z-2\omega t)}$$
(5)  
$$\approx \left[2ik_{2}\frac{\partial\tilde{A}_{2}}{\partial z} - k_{2}^{2}A_{2}\right]e^{i(k_{2}z-2\omega t)}$$
(6)

The second form is the *slowly varying amplitude approximation*.

Note also that  

$$\frac{\partial^2 \tilde{A}_2}{\partial t^2} = -(2\omega)^2 A_2 e^{i(k_2 z - 2\omega t)} \quad \frac{\partial^2 \tilde{P}_2}{\partial t^2} = -(2\omega)^2 P_2 e^{i(2k_1 z - 2\omega t)} \quad (7)$$

By combining the above equations, we obtain

$$2ik_2\frac{dA_2}{dz} = \frac{-4\omega^2}{c^2}\chi^{(2)}A_1^2e^{i\Delta k\,z} \quad \text{where} \quad \Delta k = 2k_1 - k_2. \tag{8}$$

The quantity  $\Delta k$  is known as the phase (or wavevector) mismatch factor, and it is crucially important in determining the efficiency of nonlinear optical interactions

### **Treatment of Second-Harmonic Generation – III**

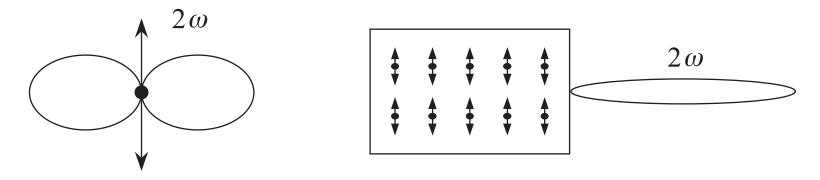
For the case  $\Delta k = 0$ , Eq. (8) becomes

$$2ik_2 \frac{dA_2}{dz} = \frac{-4\omega^2}{c^2} \chi^{(2)} A_1^2 \tag{9}$$

with solution evaluated at z = L of

$$A_2(L) = \frac{2i\omega}{n_2c}\chi^{(2)}A_1^2L \quad \text{or} \quad |A_2(L)|^2 = \frac{4\omega^2}{n_2^2c^2}[\chi^{(2)}]^2|A_1|^4L^2.$$
(10)

Note that the SHG intensity scales as the square of the input intensity and also as the square of the length L of the crystal.



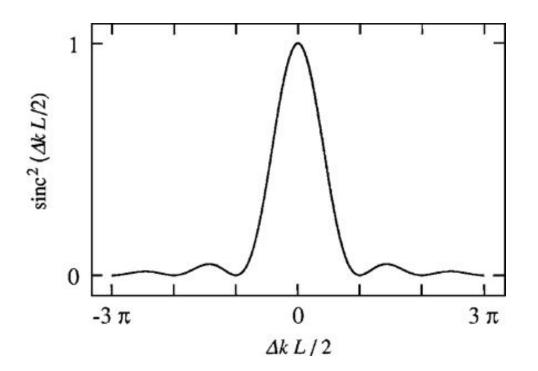
dipole emitter

phased array of dipoles

### **Treatment of Second-Harmonic Generation – IV**

For the general case of  $\Delta k \neq 0$ , Eq. (8) can still be solved to yield  $|A_2(L)|^2 = \frac{4\omega^2}{n_2^2 c^2} [\chi^{(2)}]^2 |A_1|^4 L^2 \operatorname{sinc}^2(\Delta k L/2)$  (11)

Note that  $\Delta k L$  must be kept much smaller than  $\pi$  radians in order for efficient SHG to occur.



#### **Nonlinear Optical Microscopy**

An important application of harmonic generation is nonlinear microscopy. . .

Microscopy based on second-harmonic generation in the configuration of a confocal microscope and excited by femtosecond laser pulses was introduced by Curley et al. (1992). Also, harmonic-generation microscopy can be used to form images of transparent (phase) objects, because the phase matching condition of nonlinear optics depends sensitively on the refractive index variation within the sample being imaged (Muller et al., 1998).

Boyd, NLO, Subsection 2.7.1

# **Caution!**

## Curley et al., not Curly et al.

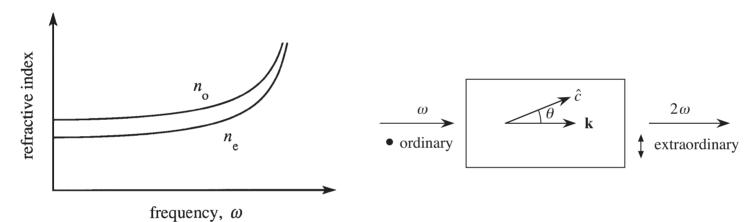


#### How to Achieve Phase Matching: Birefringence Phase Matching

The phase matching condition  $\Delta k = 0$  requires that

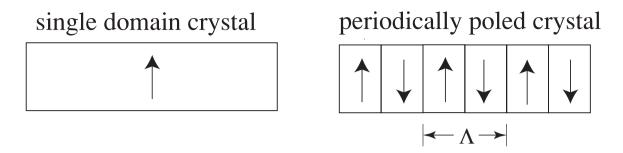
$$\frac{n_1\omega_1}{c} + \frac{n_2\omega_2}{c} = \frac{n_3\omega_3}{c} \quad \text{where} \quad \omega_1 + \omega_2 = \omega_3$$

These conditions are incompatible in an isotropic dispersive material. However, for a birefringent material phase matching can be achieved.

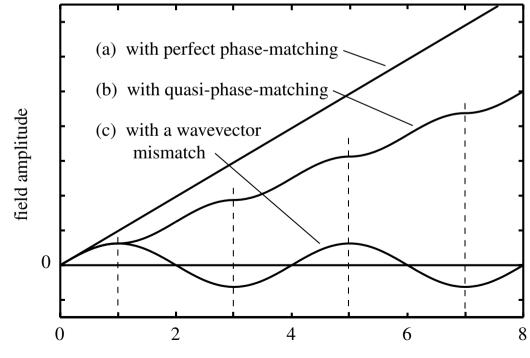


Midwinter and Warner showed that there are two ways to achieve phase matching:

	Positive uniaxial $(n_e > n_0)$	Negative uniaxial $(n_e < n_0)$
• <b>-</b>	$n_{3}^{0}\omega_{3} = n_{1}^{e}\omega_{1} + n_{2}^{e}\omega_{2}$ $n_{3}^{0}\omega_{3} = n_{1}^{o}\omega_{1} + n_{2}^{e}\omega_{2}$	$n_3^e \omega_3 = n_1^o \omega_1 + n_2^o \omega_2$ $n_3^e \omega_3 = n_1^e \omega_1 + n_2^o \omega_2$



Sign of  $\chi^{(2)}$  is periodically inverted to prevent reverse power flow.



z / L<sub>coh</sub>

Nonlinear Optics with Focused Laser Beams  
(Real experiments don't use infinite plane waves)  
Focus beam to increase laser intensity.  
What is optimum degree of focusing 7  
(too loose) (just right) (too tight)  
Trade off between peak intensity P/TWo<sup>2</sup> and  
length of interaction region ~ b.  

$$2W_0$$
  
 $b = 2\pi W_0^2$   
 $k = 2\pi W_0^2$   
For SHG, Boyd and Kleinman (1968) showed  
that maximum power conversion occurs for  
 $b = L/2.84$   
 $\Delta k = 3.2/L$  Gouy phase  
shift!  
and is given by  
 $\frac{P(w)}{P(w)} = 1.068 \left[\frac{128 \pi^2 w_1^3 deff}{c^4 n_1 n_2}\right] P(w)$ 

-

# Additional Studies of Wave Propagation Effects



3. Mechanisms of Optical Nonlinearity

### Typical Values of the Nonlinear Refractive Index

Mechanism	${n_2}^a \ ({ m cm}^2/{ m W})$	$\chi^{(3)}_{1111} \ (m^2/V^2)$	$\begin{array}{c} \text{Response time} \\ (\text{sec}) \end{array}$
Electronic polarization Molecular orientation Electrostriction Saturated atomic absorption Thermal effects	$ \begin{array}{c} 10^{-16} \\ 10^{-14} \\ 10^{-14} \\ 10^{-10} \\ 10^{-6} \end{array} $	$ \begin{array}{c} 10^{-22} \\ 10^{-20} \\ 10^{-20} \\ 10^{-16} \\ 10^{-12} \end{array} $	$ \begin{array}{c} 10^{-15} \\ 10^{-12} \\ 10^{-9} \\ 10^{-8} \\ 10^{-3} \end{array} $
Photorefractive effect <sup><math>b</math></sup>	(large)	(large)	(intensity-dependent)

 $^{a}$  For linearly polarized light.

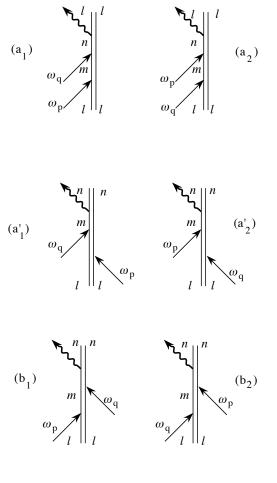
<sup>b</sup> The photorefractive effect often leads to a very strong nonlinear response. This response usually cannot be described in terms of a  $\chi^{(3)}$  (or an  $n_2$ ) nonlinear susceptibility, because the nonlinear polarization does not depend on the applied field strength in the same manner as the other mechanisms listed.

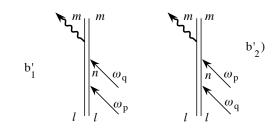
### Quantum Mechanical Origin of the Nonlinear Optical Suesceptibility

$$\begin{split} \chi_{ijk}^{(2)}(\omega_{p} + \omega_{q}, \omega_{q}, \omega_{p}) \\ &= \frac{N}{2 \epsilon_{0} \hbar^{2}} \sum_{lmn} \rho_{ll}^{(0)} \bigg\{ \frac{\mu_{ln}^{i} \mu_{nm}^{j} \mu_{ml}^{k}}{[(\omega_{nl} - \omega_{p} - \omega_{q}) - i\gamma_{nl}][(\omega_{ml} - \omega_{p}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{i} \mu_{nm}^{k} \mu_{ml}^{j}}{[(\omega_{nl} - \omega_{p} - \omega_{q}) - i\gamma_{nl}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \quad (a_{2}) \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{mn} - \omega_{p} - \omega_{q}) - i\gamma_{mn}][(\omega_{nl} + \omega_{p}) + i\gamma_{nl}]} \quad (a'_{1}) \\ &+ \frac{\mu_{ln}^{j} \mu_{nm}^{i} \mu_{ml}^{k}}{[(\omega_{mn} - \omega_{p} - \omega_{q}) - i\gamma_{mn}][(\omega_{nl} + \omega_{q}) + i\gamma_{nl}]} \quad (a'_{2}) \\ &+ \frac{\mu_{ln}^{j} \mu_{nm}^{i} \mu_{ml}^{k}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{p}) - i\gamma_{ml}]} \quad (b_{1}) \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \quad (b_{2}) \\ &- \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{nm}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{nm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{mn}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{mm}][(\omega_{ml} - \omega_{q}) - i\gamma_{ml}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{mn}^{i} \mu_{ml}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{mm}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{mn}^{i} \mu_{mn}^{j}}{[(\omega_{nm} + \omega_{p} + \omega_{q}) + i\gamma_{mm}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{mn}^{i} \mu_{mn}^{j}}{[(\omega_{mn} + \omega_{p} + \omega_{mn}) + i\gamma_{mm}]} \\ &+ \frac{\mu_{ln}^{k} \mu_{mn}^{i} \mu_{mn}^{j}}{[(\omega_{mn} + \omega_{p} +$$

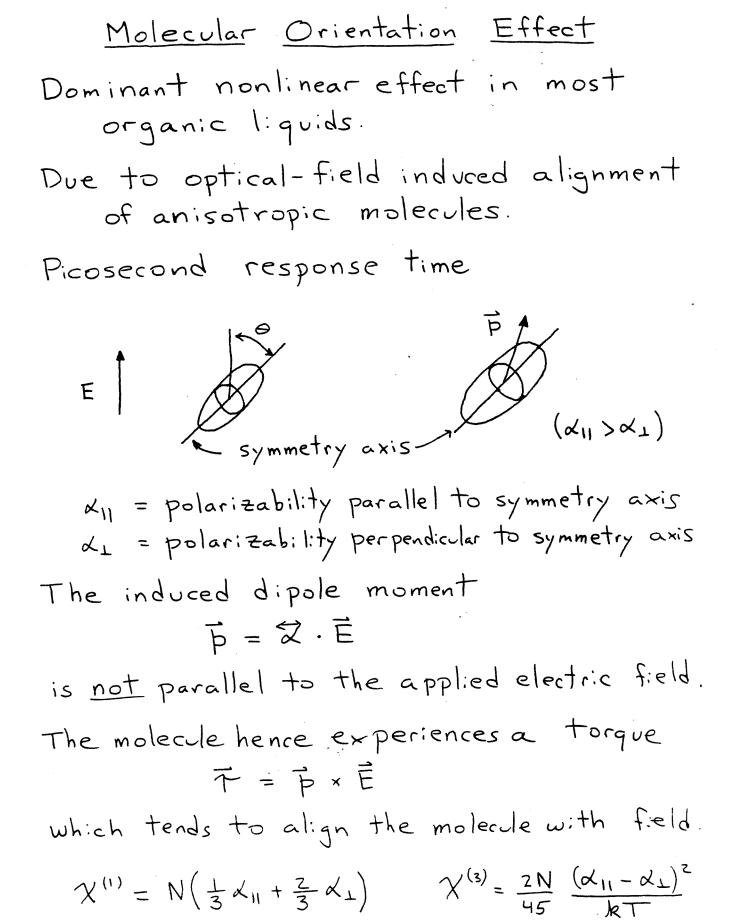
$$+ \frac{\mu_{ln}^{*}\mu_{nm}^{*}\mu_{ml}^{*}}{[(\omega_{ml} + \omega_{p} + \omega_{q}) + i\gamma_{ml}][(\omega_{nl} + \omega_{p}) + i\gamma_{nl}]} \qquad (b_{1}')$$

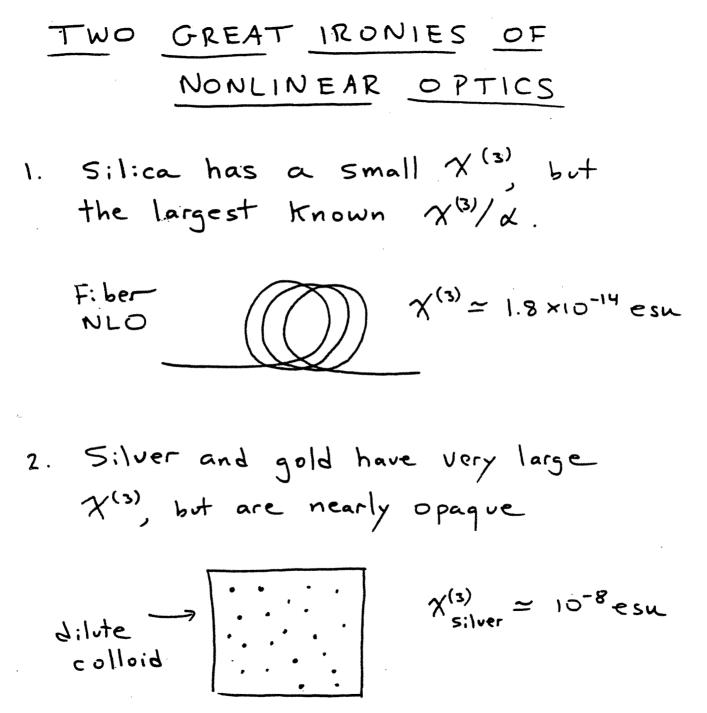
$$+ \frac{\mu_{ln}^{J}\mu_{nm}^{k}\mu_{ml}^{i}}{\left[(\omega_{ml}+\omega_{p}+\omega_{q})+i\gamma_{ml}\right]\left[(\omega_{nl}+\omega_{q})+i\gamma_{nl}\right]}\right\} \qquad (b_{2}')$$





Nonresonant Electronic Nonlinearities Estimate size:  $P = \chi^{(1)}E + \chi^{(3)}E^{3}$ nonlinear term becomes comparable to linear term when E~ Eat = e Bohr az e Bohr radius  $\Rightarrow \chi^{(3)} \approx \frac{\chi^{(1)}}{E_{ab}^{2}} \approx \frac{1}{E_{ab}^{2}} = 10^{-16} \text{ esc}$ Must generalize the Lorentz model of atom to allow a nonlinearity in restoring force. E nonlinear spring parabola (Hook's law) 2 actual potential well





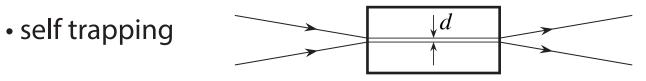
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6. Self-Action Effects in Nonlinear Optics

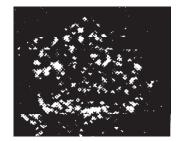
# Self-Action Effects in Nonlinear Optics

Self-action effects: light beam modifies its own propagation



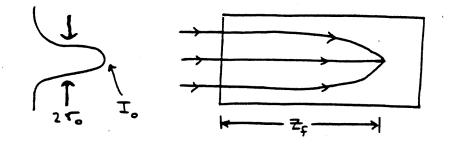


small-scale filamentation

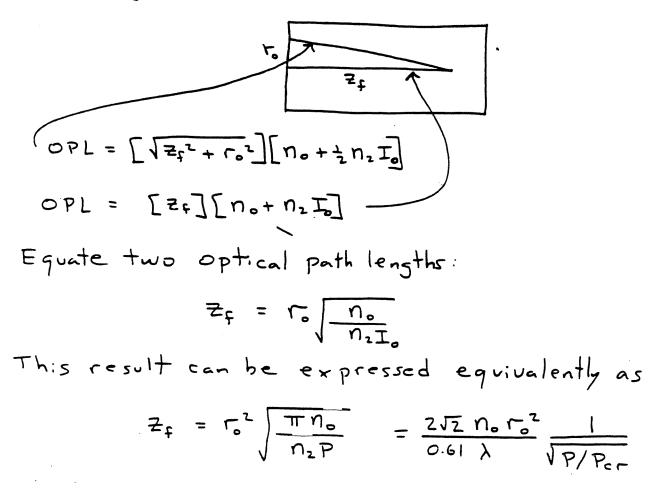


### <u>Self - Focusing</u>

Assume that P>> Per and estimate the propagation distance Zf to the self-focus.

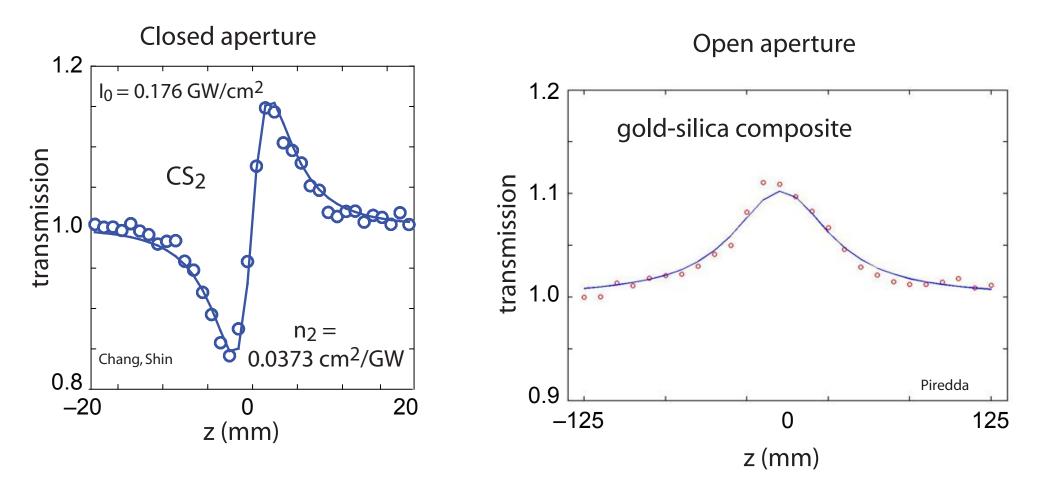


Use Fermat's principle (approximate ray trajectories as straight lines).



Z-Scan Measurement of X(3) Laser aperture Measures NL change in refraction  $(\operatorname{Re} X^{(3)})$ Z Laser No aperture Measures NL change (or open aperture) in absorption.  $(\operatorname{Im}\chi^{(3)})$ 

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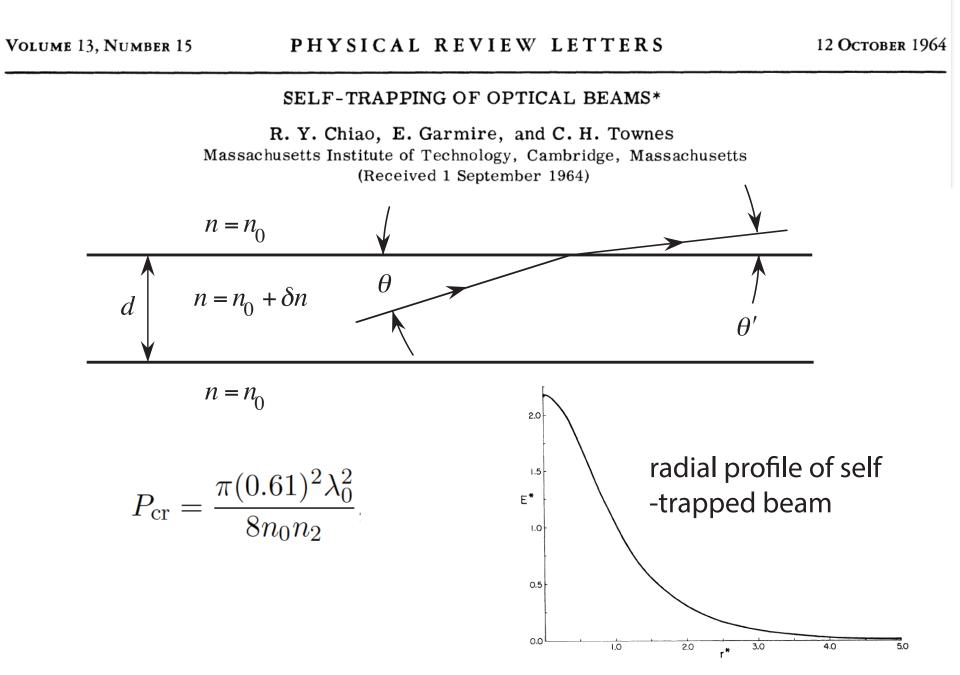
For closed aperture z-scan

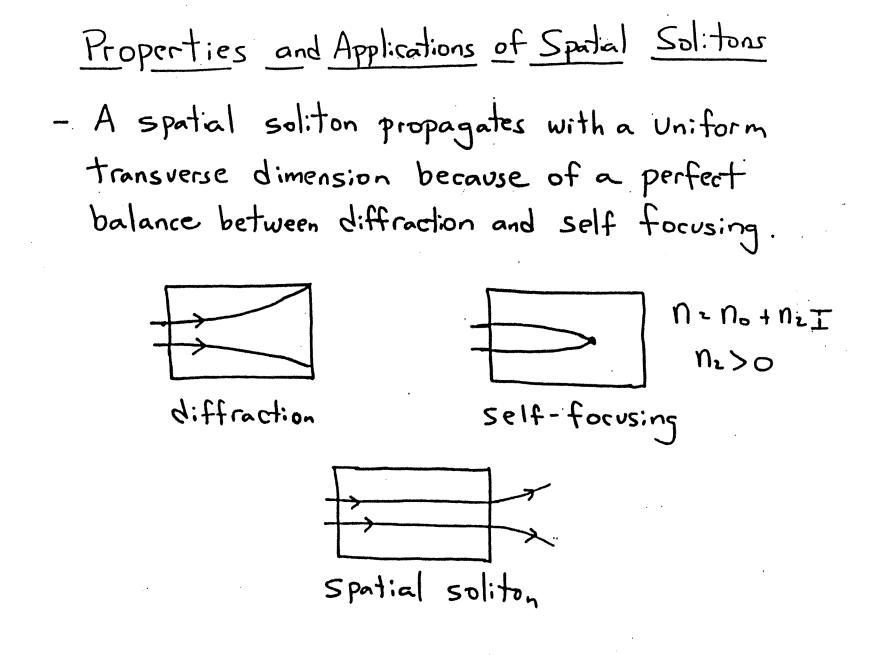
 $\Delta T_{pv} = 0.406 \ \Phi^{\text{NL}} \label{eq:Tpv}$  where

 $\Phi^{\text{NL}} = n_2 (\omega/c) I_0 L$ 

M. Sheik-Bahae et al., IEEE J. Quantum Electron. 26 760 (1990).

## Prediction of Self Trapping





## **Optical Solitons**

Field distributions that propagate without change of form

Temporal solitons (nonlinearity balances gvd)

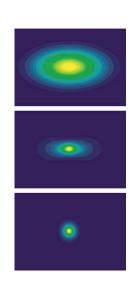
$$\frac{\partial \tilde{A}_s}{\partial z} + \frac{1}{2}ik_2\frac{\partial^2 \tilde{A}_s}{\partial \tau^2} = i\gamma |\tilde{A}_s|^2 \tilde{A}_s.$$

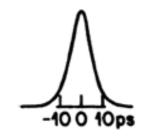
1973: Hasegawa & Tappert 1980: Mollenauer, Stolen, Gordon



$$2ik_0\frac{\partial A}{\partial z} + \frac{\partial^2 A}{\partial x^2} = -3\chi^{(3)} \frac{\omega^2}{c^2} |A|^2 A$$

1964: Garmire, Chiao, Townes1974: Ashkin and Bjorkholm (Na)1985: Barthelemy, Froehly (CS2)1991: Aitchison et al. (planar glass waveguide1992: Segev, (photorefractive)





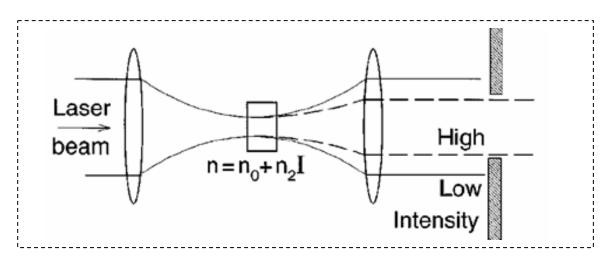
#### Solitons and self-focussing in Ti:Sapphire

42 OPTICS LETTERS / Vol. 16, No. 1 / January 1, 1991

### 60-fsec pulse generation from a self-mode-locked Ti:sapphire laser

D. E. Spence, P. N. Kean, and W. Sibbett

J. F. Allen Physics Research Laboratories, Department of Physics and Astronomy, University of St. Andrews, North Haugh, St. Andrews, Fife, KY16 9SS, Scotland



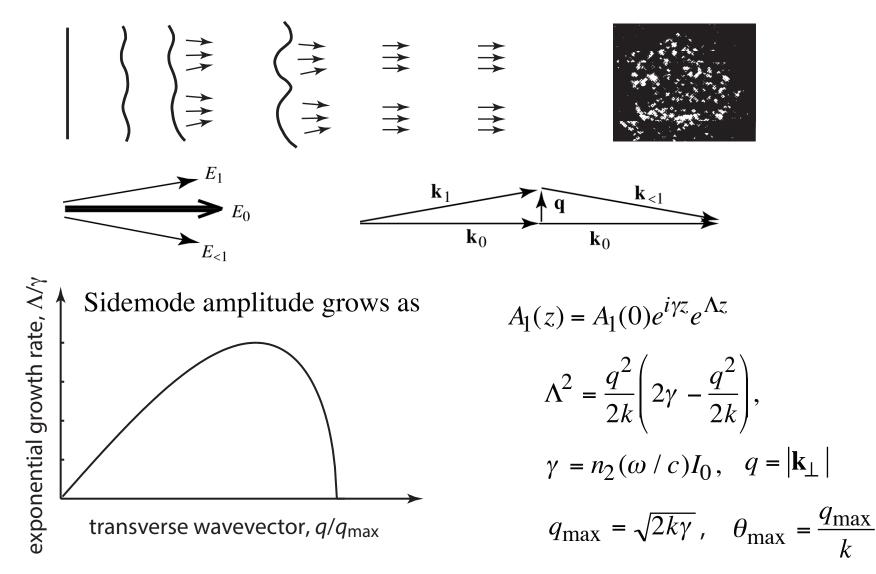
Diffraction-management controls the spatial selffocussing

Dispersion-management controls the temporal self-focussing

### Beam Breakup by Small-Scale Filamentation

Predicted by Bespalov and Talanov (1966)

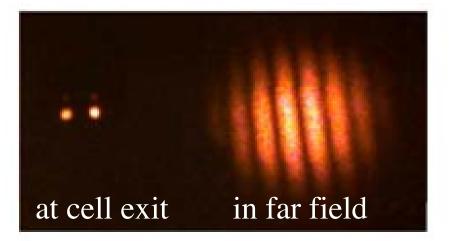
Exponential growth of wavefront imperfections by four-wave mixing processes



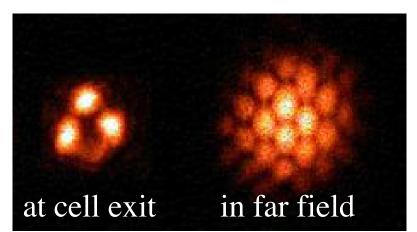
# **Honeycomb Pattern Formation**

### Output from cell with a single gaussian input beam

#### At medium input power



At high input power



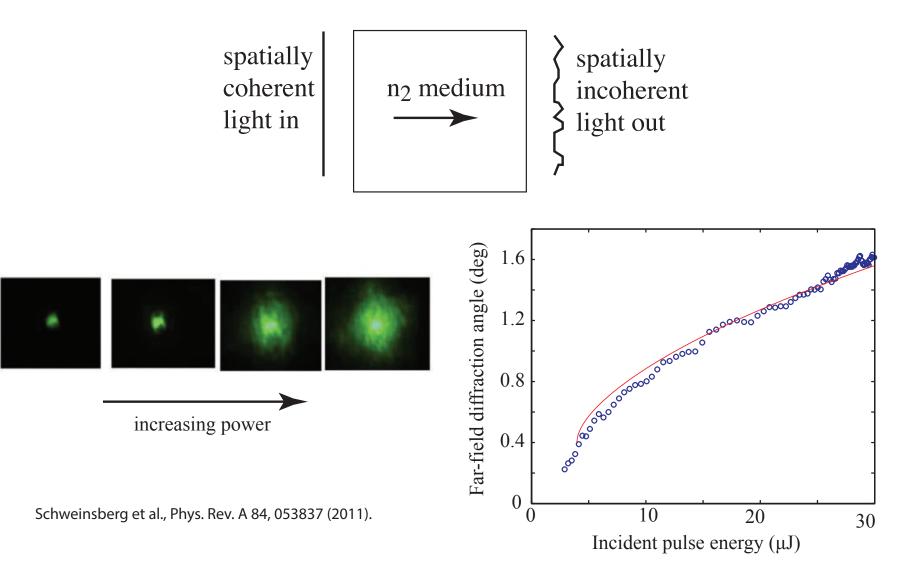
#### Spontaneous pattern formation

Input power 100 to150 mW Input beam diameter 0.22 mm

Sodium vapor cell T = 220°C Wavelength = 588 nm Bennink et al., PRL 88, 113901 2002.

### **Optical Radiance Limiter Based on Spatial Coherence Control**

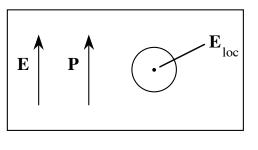
Controlled small-scale filamentation used to modify spatial degree of coherence Alternative to standard appropches to optical power limiting



4. Local-Field Effects in Nonlinear Optics

Recall the Lorentz-Lorenz Law (linear optics)

$$\chi^{(1)} = \frac{N\alpha}{1 - \frac{4}{3}\pi N\alpha}$$
 or  $\frac{\epsilon^{(1)} - 1}{\epsilon^{(1)} + 2} = \frac{4}{3}\pi N\alpha.$ 



This result follows from the assumption that the field that acts on a representative atom is not the macroscopic Maxwell field but rather the Lorentz local field given by

$$E_{\text{loc}} = E + \frac{4}{3}\pi P$$
 where  $P = \chi^{(1)}E$ 

We can rewrite this result as

$$E_{\text{loc}} = LE$$
 where  $L = \frac{\epsilon^{(1)} + 2}{3}$  is the local field factor.

# The Lorentz Red Shift

$$\frac{\varepsilon - 1}{\varepsilon + 2} = \frac{4\pi}{3} N \alpha$$

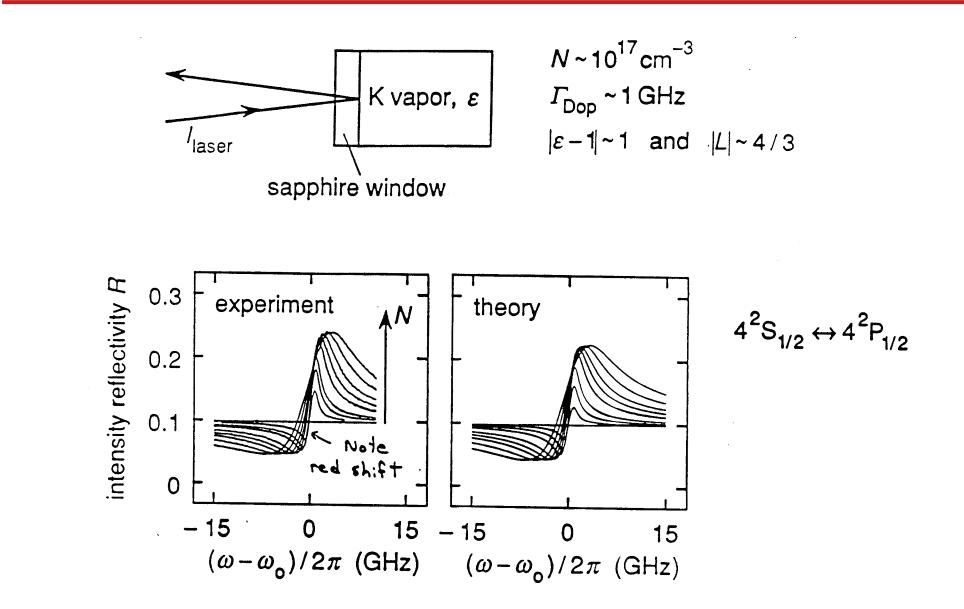
.

$$\alpha = \frac{c f re \lambda_0 e / 4\pi}{W_0 - W - i \gamma}$$

$$\mathcal{E} = 1 + \frac{Nfre \lambda_{o}c}{W_{o} + \Delta W_{L} - W - i\delta}$$
  
$$\Delta W_{L} = -\frac{1}{3}Nfre \lambda_{o}c$$
  
$$T$$

See, for instance, H. A. Lorentz, Theory of Electrons, Dover, NY (1952).

## **Observation of the Lorentz Red Shift**



Maki, Malcuit, Sipe, and Boyd, Phys. Rev. Lett. 68, 972 (1991).

For the case of nonlinear optics, Bloembergen (1962, 1965) showed that, for instance,

$$\chi^{(3)}(\omega = \omega + \omega - \omega) = N\gamma^{(3)}|L(\omega)|^2[L(\omega)]^2.$$

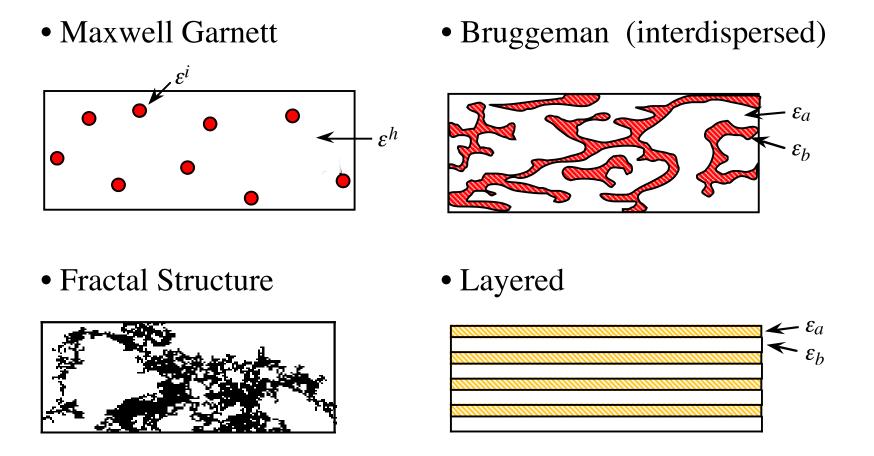
where  $\gamma^{(3)}$  is the second hyperpolarizability and where

$$L(\omega) = \frac{\epsilon(\omega) + 2}{3}$$

For the typical value n = 2, L = 2, and  $L^4 = 16$ . Local field effects can be very large in nonlinear optics! But can we tailor them for our benefit?

We have been developing new photonic materials with enhanced NLO response by using composite structures that exploit local field effects.

## Nanocomposite Materials for Nonlinear Optics



- In each case, scale size of inhomogeneity << optical wavelength
- Thus all optical properties, such as *n* and  $\chi^{(3)}$ , can be described by effective (volume averaged) values

Recent review: Dolgaleva and Boyd, Advances in Optics and Photonics 4, 1–77 (2012).

## Local Field Enhancement of the NLO Response

- Under very general conditions, we can express the NL response as  $\chi_{eff}^{(3)} = f L^2 |L|^2 \chi^{(3)}$ 

where *f* is the volume fraction of nonlinear material and *L* is the local-field factor, which is different for each material geometry.

- Under appropriate conditions, the product  $fL^2|L|^2$  can exceed unity.
- For a homogeneous material  $L = \frac{\varepsilon + 2}{3}$
- For a spherical particle of dielectric constant  $\varepsilon_m$  embedded in a host of dielectric constant  $\varepsilon_h$   $I = \frac{3\varepsilon_h}{1 \frac{3\varepsilon_h}{2}}$

$$L = \frac{3\varepsilon_h}{\varepsilon_m + 2\varepsilon_h}$$

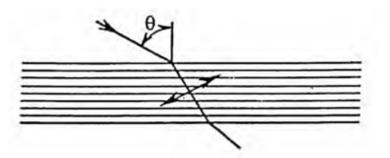
• For a layered geometry with the electric field perpendicular to the plane of the layers, the local field factor for component a is given by

$$L = \frac{\varepsilon_{\rm eff}}{\varepsilon_{\rm a}} \qquad \frac{1}{\varepsilon_{\rm eff}} = \frac{f_{\rm a}}{\varepsilon_{\rm a}} + \frac{f_{\rm b}}{\varepsilon_{\rm b}}$$

## Enhanced NLO Response from Layered Composite Materials

A composite material can display a larger NL response than its constituents!

Alternating layers of TiO<sub>2</sub> and the conjugated polymer PBZT.

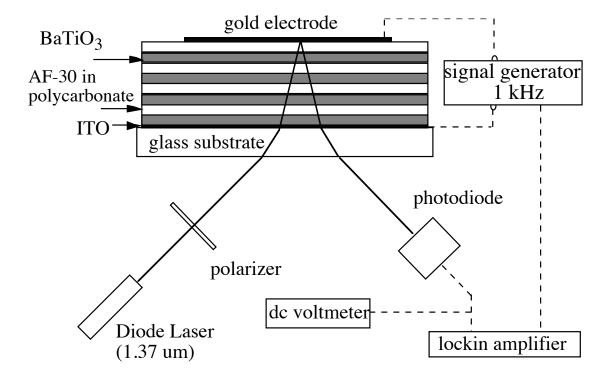


 $\nabla \cdot \mathbf{D} = 0$  implies that  $(\varepsilon \mathbf{E})_{\perp}$  is continuous.

Measure NL phase shift as a function of angle of incidence.

35% enhancement in  $\chi^{(3)}$ 

Fischer, Boyd, Gehr, Jenekhe, Osaheni, Sipe, and Weller-Brophy, Phys. Rev. Lett. 74, 1871 (1995).



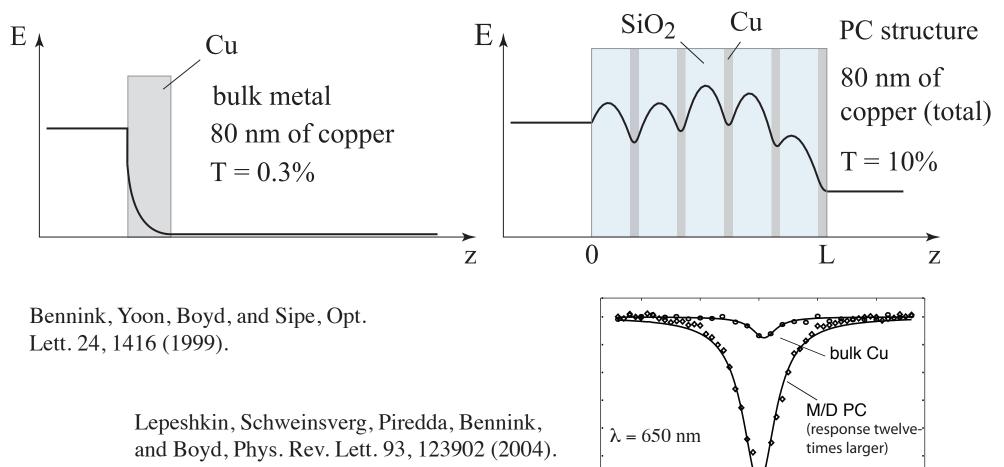
#### Quadratic EO effect

#### 3.2 times enhancement!

Nelson and Boyd, APL 74 2417 (1999)

### Accessing the Optical Nonlinearity of Metals with Metal-Dielectric Photonic Crystal Structures

- Metals have very large optical nonlinearities but low transmission
- Low transmission because metals are highly reflecting (not because they are absorbing!)
- Solution: construct metal-dielectric photonic crystal structure (linear properties studied earlier by Bloemer and Scalora)



 $I = 500 \text{ MW/cm}^2$ 

$$\frac{\text{Metal} / \underline{\text{Dielectric Composites}}}{\text{Very large local field effects}}$$

$$\frac{\text{Very large local field effects}}{E_{h}} = \frac{3E_{h}}{E_{m}+2E_{h}} E_{o}$$

$$E_{h} = Z E_{o}$$

$$(E_{m} \text{ is negative } !)$$

$$At \text{ resonance}$$

$$Z = \frac{3E_{h}}{E_{m}+2E_{h}} \rightarrow \frac{3E_{h}}{iE_{m}''} \approx (3 + 0 30) i$$

# Gold-Doped Glass: A Maxwell-Garnett Composite



Red Glass Caraffe Nurenberg, ca. 1700

Huelsmann Museum, Bielefeld

Developmental Glass, Corning Inc.

gold volume fraction approximately 10<sup>-6</sup> gold particles approximately 10 nm diameter



- Composite materials can possess properties very different from those of their constituents.
- Red color is because the material absorbs very strong in the blue, at the surface plasmon frequency

Counterintuitive Consequence of Local Field Effects

Both constituents are reverse saturable absorbers  $\implies$  Im  $\chi^{(3)} > 0$ 

Effective NL susceptibility of composite  

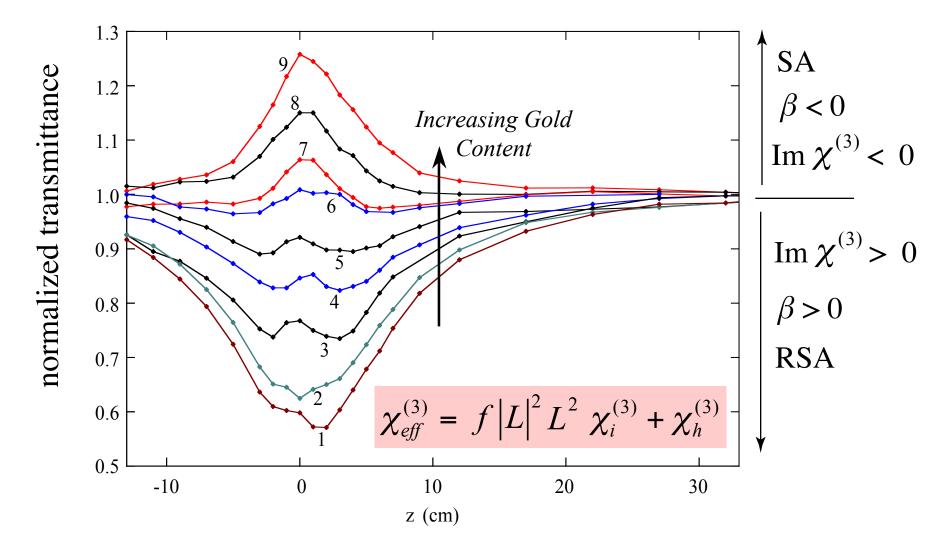
$$\chi_{eff}^{(3)} = \int \mathcal{J}^2 |\mathcal{J}|^2 \chi_{Au}^{(3)} + (1-f) \chi_{dye soln}^{(3)}$$

$$\chi = \frac{3E_h}{E_m + 2E_h} = pure imaginary at resonance$$

A cancellation of the two contributions to  $X^{(3)}$  can occur, even though they have same sign.

## Counterintuitive Consequence of Local Field Effects

Cancellation of two contributions that have the same sign Gold nanoparticles in a reverse saturable absorber dye solution (13  $\mu$ M HITCI)

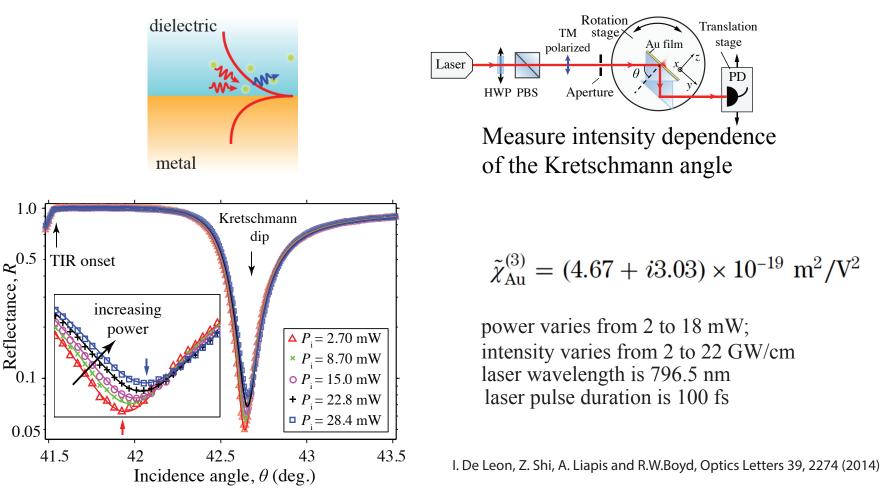


D.D. Smith, G. Fischer, R.W. Boyd, D.A.Gregory, JOSA B 14, 1625, 1997.

#### NLO in Plasmonics (Photonics Using Metals)

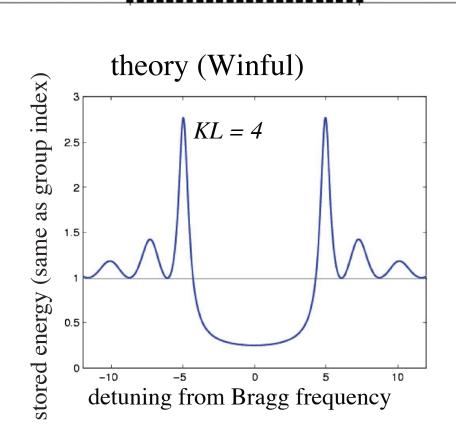
Is there an intrinsic nonlinear reponse to surface plasmon polaritons (SPPs)?

- A nonlinar response would be useful for photonics applications
- Metals are highly nonlinear (n2 is10<sup>6</sup> times that of silica)
- Large (sub-wavelength) field confinement in an SPP
- But SPPs tend to show high loss



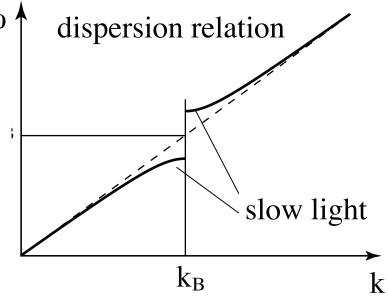
# Slow Light in Fiber Bragg Grating (FBG) Structures (Can describe properties of FBGs by means of analytic expressions) forward and backward waves are strongly coupled

 $E_F(L,t)$ 



 $E_F(0,t)$ 

 $E_{R}(0,t)$ 



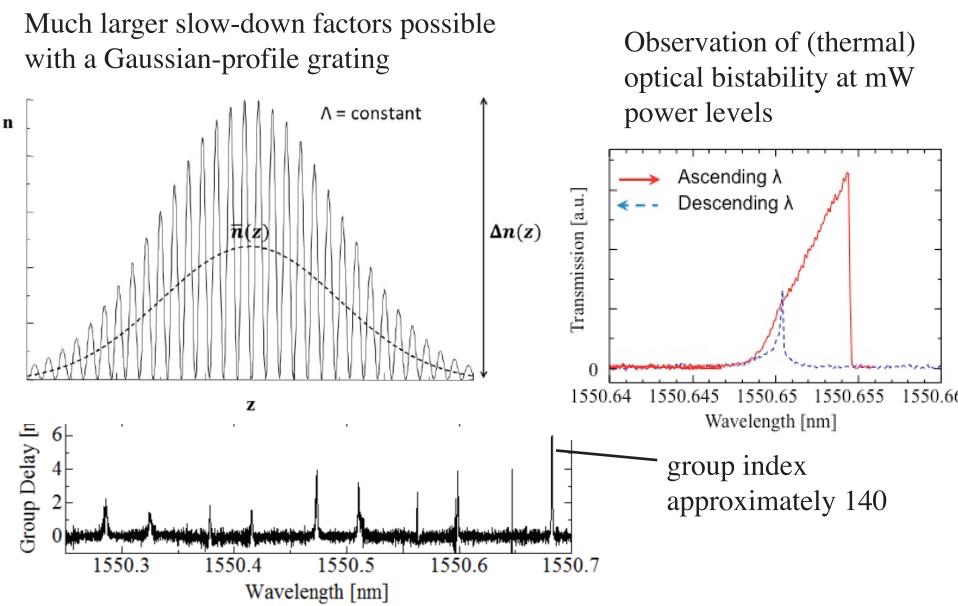
• Enhanced NLO response

Bhat and Sipe showed that the nonlinear coeficient is given by

$$\Gamma = \left(\frac{3 - S^{-2}}{2}\right) S^2 \gamma_0$$

where the slow-down factor  $S = n_g/n$ 

### Improved Slow-Light Fiber Bragg Grating (FBG) Structure



H. Wen, M. Terrel, S. Fan and M. Digonnet, IEEE Sensors J. 12, 156-163 (2012).

J. Upham, I. De Leon, D. Grobnic, E. Ma, M.-C. N. Dicaire, S.A. Schulz, S. Murugkar, and R.W. Boyd, Optics Letters 39, 849-852 (2014).

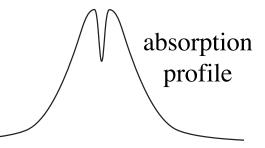
5. Slow and Fast Light

# **Controlling the Velocity of Light**

"Slow," "Fast" and "Backwards" Light

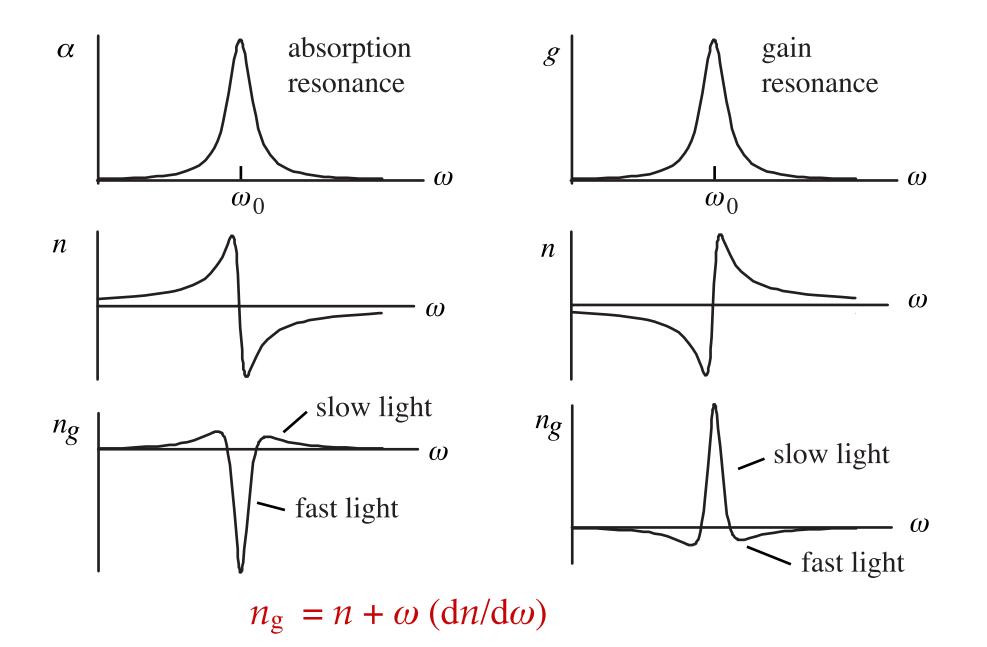
- Light can be made to go: slow:  $v_g \ll c$  (as much as 10<sup>6</sup> times slower!) fast:  $v_g > c$ backwards:  $v_g$  negative Here  $v_g$  is the group velocity:  $v_g = c/n_g$   $n_g = n + \omega (dn/d\omega)$
- Velocity controlled by structural or material resonances





Review article: Boyd and Gauthier, Science 326, 1074 (2009).

#### Slow and Fast Light Using Isolated Gain or Absorption Resonances



#### Light speed reduction to 17 metres per second in an ultracold atomic gas

Lene Vestergaard Hau<sup>\*2</sup>, S. E. Harris<sup>3</sup>, Zachary Dutton<sup>\*2</sup> & Cyrus H. Behroozi<sup>\*</sup>§

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<sup>2</sup> Department of Physics, § Division of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts 02138, USA

<sup>3</sup> Edward L. Ginzton Laboratory, Stanford University, Stanford, California 94305, USA

NATURE | VOL 397 | 18 FEBRUARY 1999 |www.nature.com

0.8 0.7

0.6

0.5

0.4 0.3

0.2

0.1

1.006

1.004

1.002 1.000

0.998 0.996 0.994

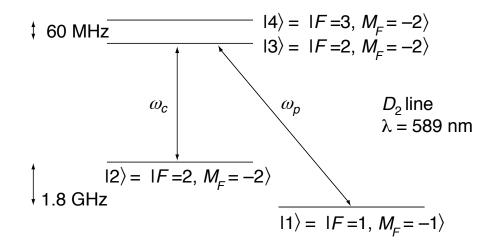
-30

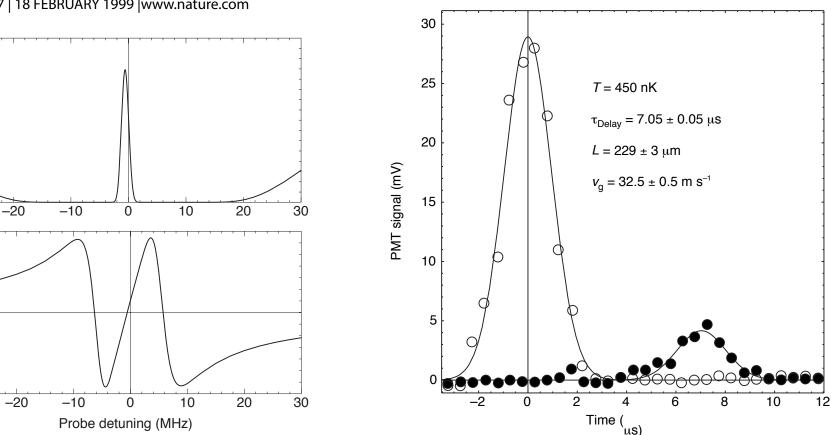
-30

Transmission

Refractive index

а





Note also related work by Chu, Wong, Welch, Scully, Budker, Ketterle, and many others

# **Goal: Slow Light in a Room-Temperature Solid-State Material**

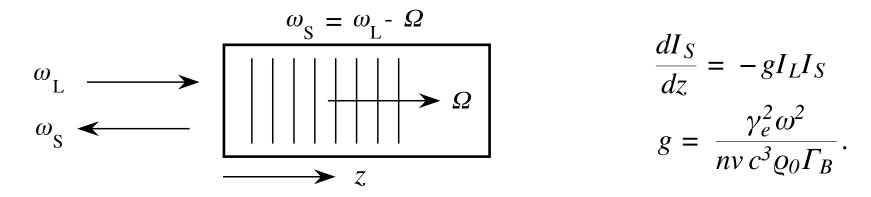
Crucial for many real-world applications

We have identified two preferred methods for producing slow light

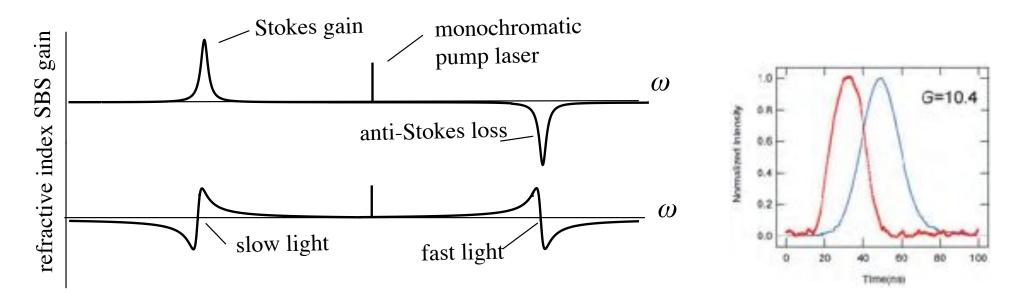
- (1) Slow light *via* coherent population oscillations (CPO)
- (2) Slow light *via* stimulated Brillouin scattering (SBS)



# Slow Light by Stimulated Brillouin Scattering (SBS)



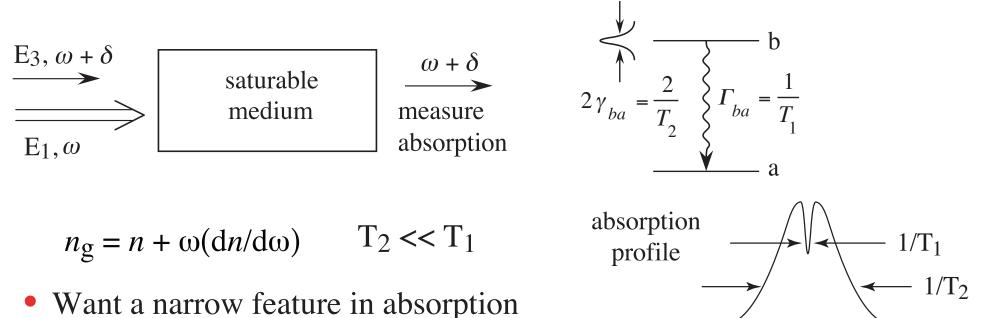
We often think of SBS as a pure gain process, but it also leads to a change in refractive index



The induced time delay is  $\Delta T_{\rm d} \approx \frac{G}{\Gamma_{\rm B}}$  where  $G = g I_{\rm P} L$  and  $\Gamma_{\rm B}$  is the Brillouin linewidth

Okawachi, Bigelow, Sharping, Zhu, Schweinsberg, Gauthier, Boyd, and Gaeta Phys. Rev. Lett. 94, 153902 (2005). Related results reported by Song, González Herráez and Thévenaz, Optics Express 13, 83 (2005).

### Slow Light via Coherent Population Oscillations

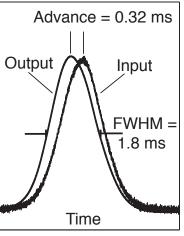


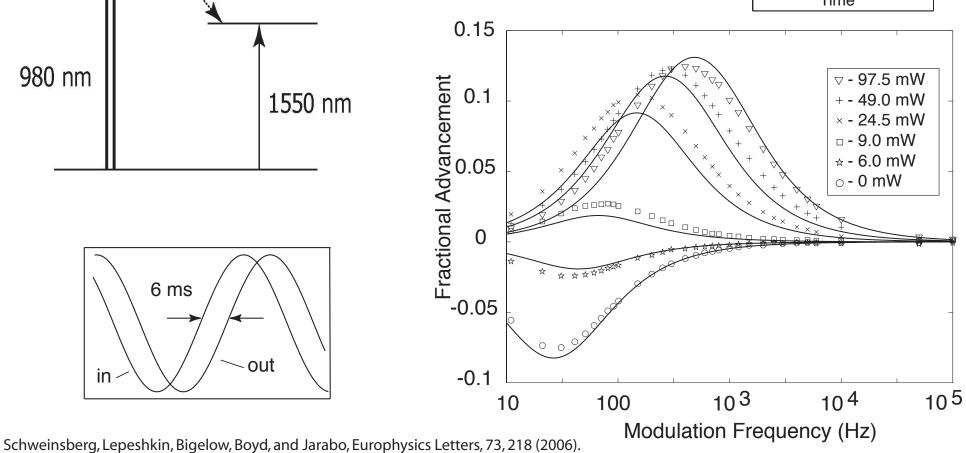
- profile to give a large  $dn/d\omega$
- Ground state population oscillates at beat frequency  $\delta$  (for  $\delta < 1/T_1$ ).
- Population oscillations lead to decreased probe absorption (by explicit calculation), even though broadening is homogeneous.
- Ultra-slow light ( $n_g > 10^6$ ) observed in ruby and ultra-fast light  $(n_g = -4 \times 10^5)$  observed in alexandrite.
- Slow and fast light effects occur at room temperature!

PRL 90,113903(2003); Science, 301, 200 (2003)

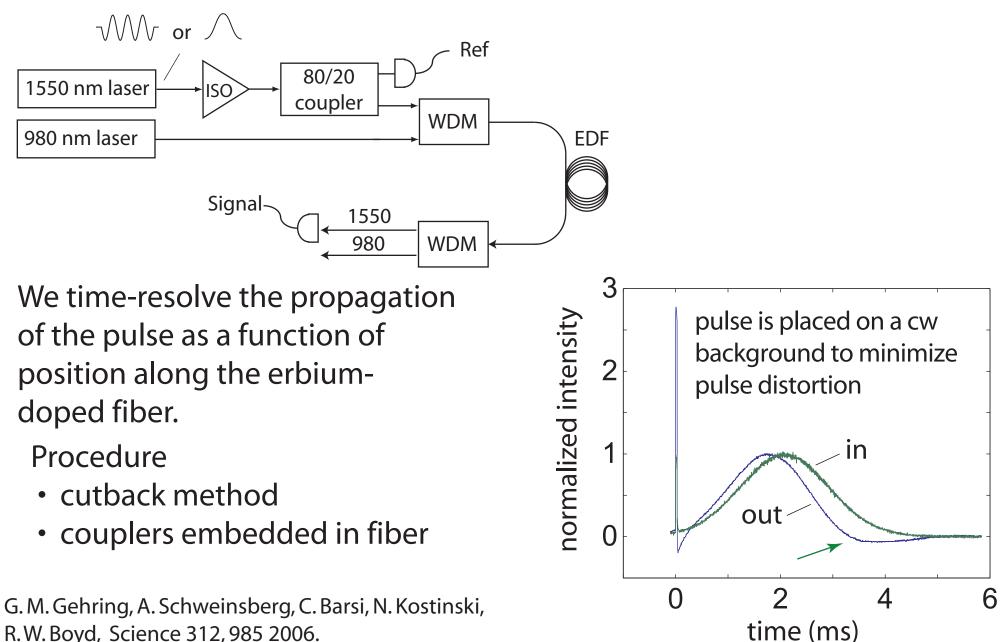
## Slow and Fast Light in an Erbium Doped Fiber Amplifier

- Fiber geometry allows long propagation length
- Saturable gain or loss possible depending on pump intensity





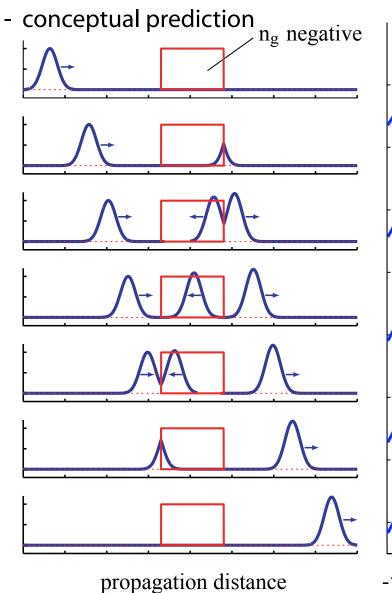
## **Observation of Backward Pulse Propagation** in an Erbium-Doped-Fiber Optical Amplifier

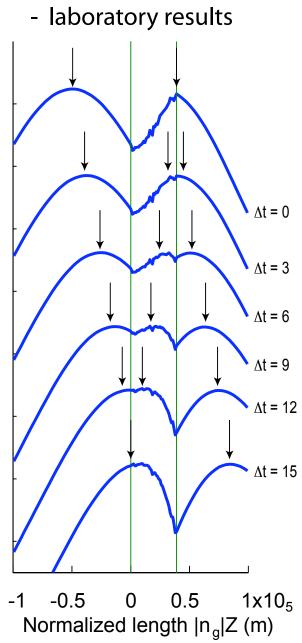


R.W. Boyd, Science 312, 985 2006.

### **Observation of Superluminal and "Backwards" Pulse Propagation**

- A strongly counterintuitive phenomenon
- But entirely consistent with established physics
- Predicted by Garrett and McCumber (1970) and Chiao (1993).
- Observed by Gehring, Schweinsberg, Barsi, Kostinski, and Boyd Science 312, 985 2006.

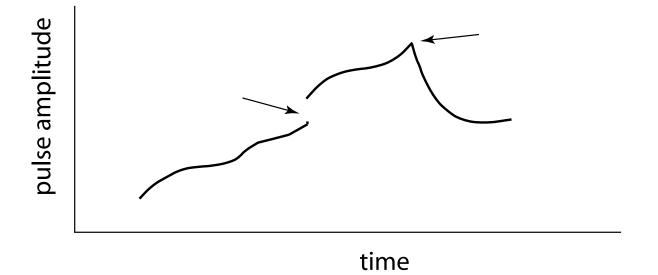




SILO

## Causality?

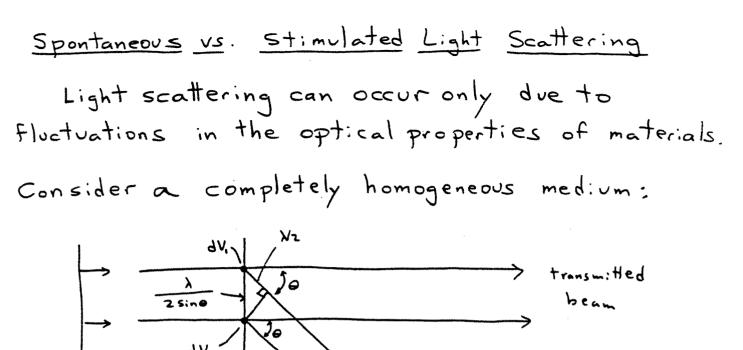
- Superluminal (v<sub>g</sub>>c) and backwards (v<sub>g</sub> negative) propagation may seem counterintuitive but are fully compatible with causality.
- The group velocity is the velocity at which peak of pulse moves; it is not the "information velocity."
- It is believed that information is carried by points of nonalyticity of a waveform

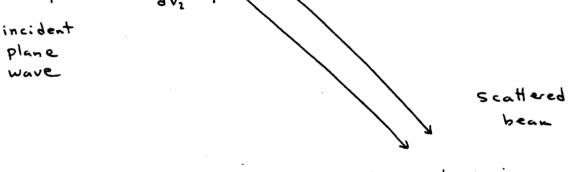


- broad spectral content at points of discontinuity
- disturbance moves at vacuum speed of light

see, for instance, R.Y. Chiao

8. Spontaneous and Stimulated Light Scattering

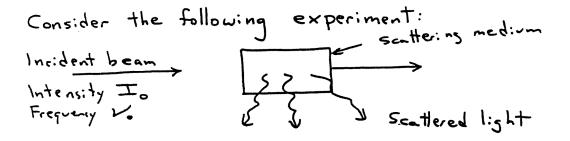


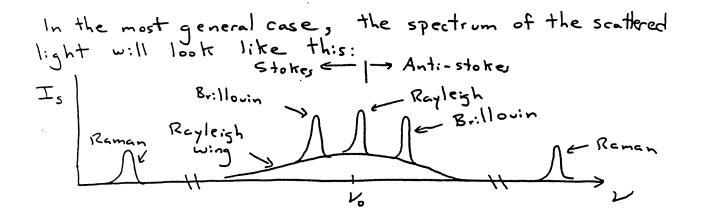


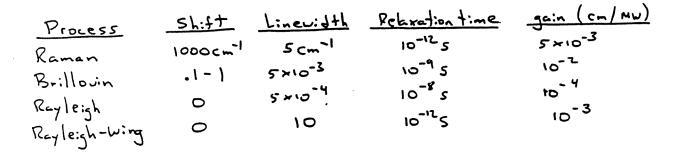
complete destructive interference!

<u>Spontaneous light scattering</u> Fluctuations (e.g., in E) occur due to thermal (or quantum mechanical zero-point) excitation.

<u>Stimulated light scattering</u> Fluctuations are induced by the incident laser field.







Relation Between Spontaneous and Stimulated Light Scattering

$$\begin{array}{c} & \longrightarrow \overline{z} \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\$$

 $\frac{dm_s}{dz} = Dm_L(m_s+1)$ # of laser photons per mode # of Stokes photons per mode

For 
$$m_s \ll 1$$
  
 $m_s(z) = m_s(o) + Dm_L Z \eta$   
linear increase with length of scattering medium  
 $\Rightarrow$  spontaneous scattering

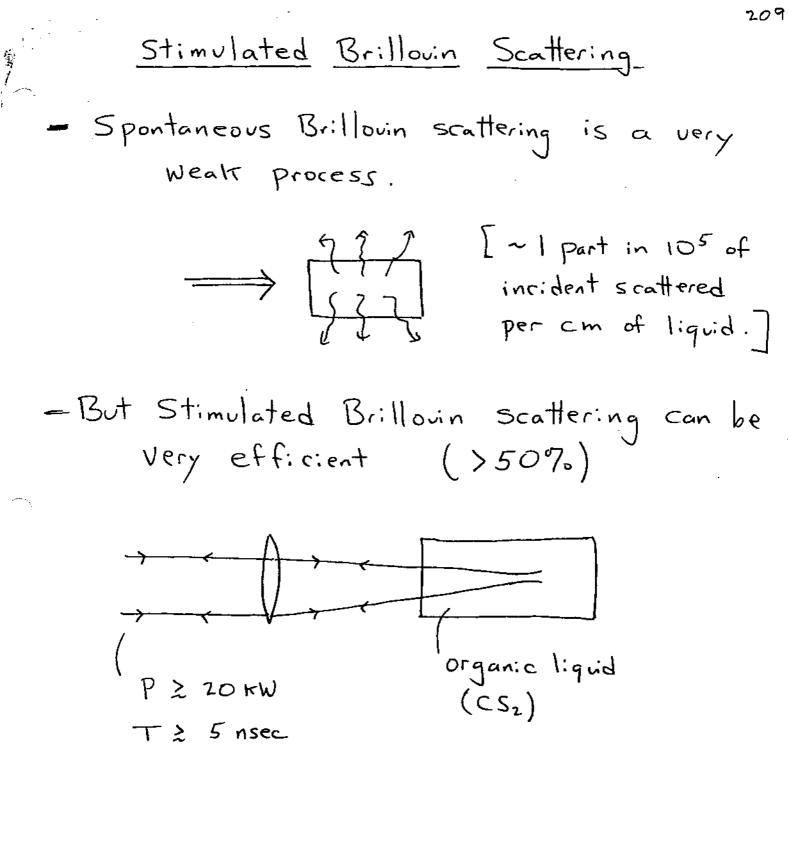
For  $\underline{m}_{s}$  >>)  $m_{s}(z) = m_{s}(o) \in Gz$  exponential growth  $\Rightarrow$  stimulated scattering

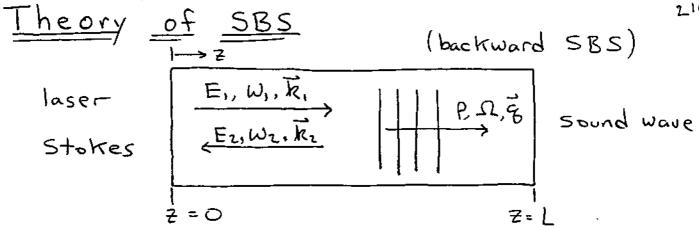
 $G = D m_{L}$ 

We can relate G to the spontaneous scattering cross section:

$$G = \frac{4\pi^{3}Nc^{2}}{\pi\omega_{L}\omega_{s}^{2}n_{s}^{2}} \left(\frac{\partial^{2}\sigma}{\partial\omega_{s}\partial\Omega}\right) I_{L}$$

(R.W. Hellwarth, Phys. Rev <u>130</u> 1850, 1963)





Positive feed back: laser + Stokes --- > sound laser + sound Stokes Frequencies:  $W_2 = W_1 - \Omega_B \quad \text{where} \quad \Omega_{\overline{g}} = |\overline{g}| \mathcal{V} \quad \text{sound}$   $\overline{g} = \overline{k}_1 - \overline{k}_2 \simeq 2\overline{k}_1 \quad (\text{since } \mathcal{V} < c, \Omega < c W_1)$  $\Rightarrow \Omega_{B} = 2W \frac{V}{C/n} \left( \Omega_{B}/2\pi \approx 5 CH_{Z} \right)$ 

Coupling among waves : electrostriction  
Material tends to be drawn  
into regions of high field.  

$$P_{st} = -Ve = \frac{E^2}{8\pi}$$
  
 $Ve = P \frac{2E}{2P} \approx 1$  for condense  
matter.  
 $\Delta P = -C P_{st}$  where  $C = \frac{1}{P} \left(\frac{2P}{2P}\right) = \text{compress: bility}$   
 $\Delta E = \left(P \frac{2E}{2P}\right) \frac{1}{P} \Delta P$ 

210

$$\frac{\text{Theory of SBS}}{\tilde{E}_{1}(z,t) = A_{1}(z,t) e^{i(R_{1}z-\omega_{1}t)} + tcc} = E_{1} \xrightarrow{z} E_{1}(z,t) = A_{1}(z,t) e^{i(R_{1}z-\omega_{1}t)} + tcc}$$

$$\tilde{E}_{2}(z,t) = A_{2}(z,t) e^{i(R_{1}z-\omega_{1}t)} + tcc} = \begin{cases} \overline{b}^{z}zR_{1} \\ \Omega = \omega_{1}-\omega_{2} \end{cases}$$
density  $\tilde{\rho}$  obeys a constic wave equation
$$\frac{\partial^{2}\tilde{\rho}}{\partial t^{2}} - \Gamma'\nabla^{2}\frac{\partial\tilde{\rho}}{\partial t} - \upsilon^{2}\nabla^{2}\tilde{\rho} = +\nabla\cdot\tilde{f}$$

$$\Gamma' = \frac{1}{\rho}\left[\frac{u}{3}\eta_{s} + \eta_{b} + \frac{\kappa}{c_{p}}(\gamma-1)\right]$$

$$\tilde{f} = \nabla\beta_{s} \qquad \beta_{s} + -\gamma_{e}\left[\frac{\langle \tilde{E}^{z}\rangle}{g\pi}\right]$$
Thus
$$\nabla\cdot\tilde{f} = \frac{\sqrt{e}}{4\pi}\left[A_{1}A_{2}^{*}e^{i(R_{2}-\Omega t)} + tcc\right]$$
In the SVAA, acoustic wave equation becomes
$$-2i\Omega\frac{\partial\rho}{\partial t} + \left(\Omega_{R}^{2}-\Omega^{2}-i\Omega\Gamma_{R}\right)\rho - 2ig\upsilon^{2}\frac{\partial\rho}{\partial z}$$

$$= \frac{\sqrt{e}}{4\pi}\frac{g^{2}}{A_{1}}A_{2}^{*}$$
where
$$\Gamma_{R} = g^{2}\Gamma' \qquad \Omega_{R} = 2\omega\frac{\tau}{c/n}$$

. .

250

11

$$\frac{\text{Theory of SBS}}{\text{Simplifications}: (1) \ \partial P/\partial t = 0} \quad \text{in steady state}$$

$$(2) \ \partial P/\partial z = 0 \quad (\text{phonons strongly damped})$$

$$(ds \leq 10 \text{ Jum})$$

$$P(z, t) = \frac{\text{Yeg}^2}{4\pi} \quad \frac{A_1 A_2^*}{\Omega_B^2 - \Omega^2 - i \Omega \Gamma_B}$$

$$Optical \text{ field obeys}$$

$$\frac{\partial^2 \widetilde{E}_i}{\partial z^2} = \frac{1}{(c/n)^2} \frac{\partial^2 \widetilde{E}_i}{\partial t^2} = \frac{4\pi}{c^2} \frac{\partial^2 \widetilde{P}_i}{\partial t^2}$$

where

$$P^{NL} = \Delta X \tilde{E} = \frac{\Delta E}{4\pi} \tilde{E} = \frac{1}{4\pi \rho_{o}} \left( \rho \frac{\partial E}{\partial \rho} \right) \left( \tilde{\rho} - \rho_{o} \right) \tilde{E}$$
$$= \frac{1}{4\pi \rho_{o}} Y_{e} \left( \tilde{\rho} - \rho_{o} \right) \tilde{E}$$

Thus

$$\frac{\partial A_{1}}{\partial z} + \frac{1}{c/n} \frac{\partial A_{1}}{\partial t} = \frac{i\omega Y_{e}}{2nc\rho_{o}} \rho A_{2}$$

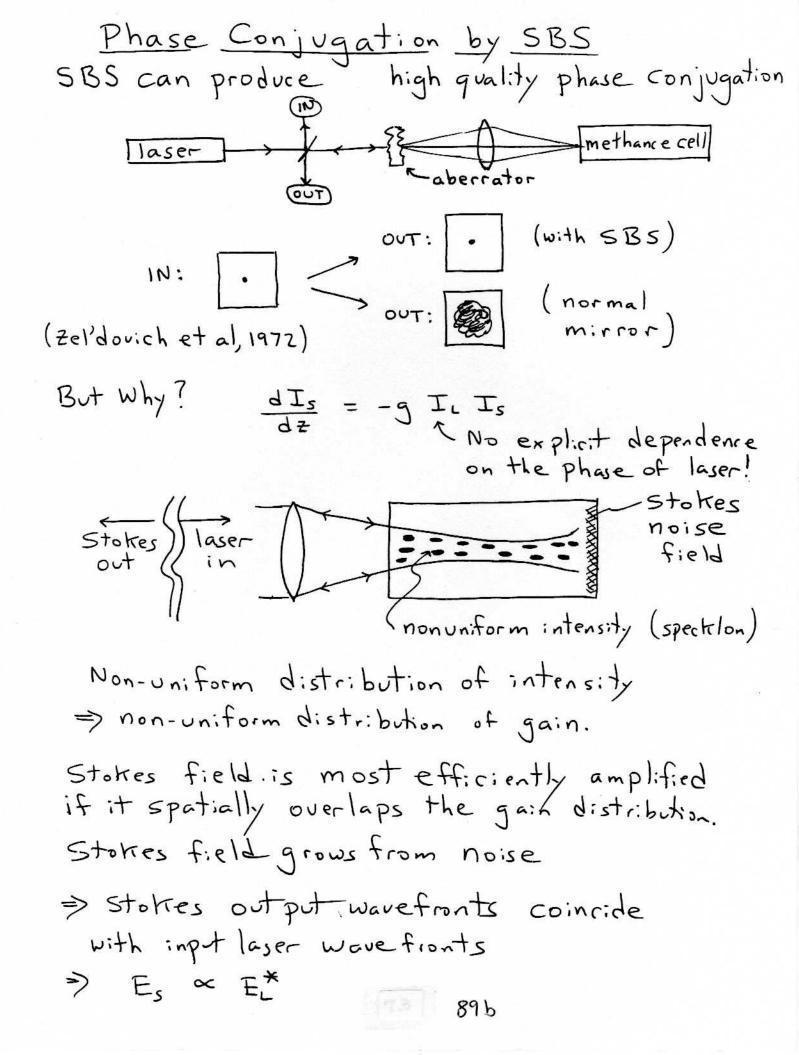
$$-\frac{\partial A_{2}}{\partial z} + \frac{1}{c/n} \frac{\partial A_{2}}{\partial t} = \frac{i\omega Y_{e}}{2nc\rho_{o}} \rho^{*} A_{1}$$
At the Brillowin resonance  $(\Omega = \Omega_{B})$  and in steady state
$$\frac{dA_{1}}{dz} = -\frac{\omega}{8\pi} \frac{Y_{e}^{2} g^{2}}{8\pi} (A_{2} A_{2}^{*}) A_{1}$$

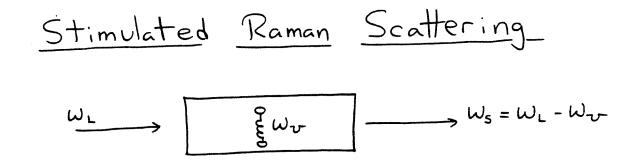
$$\frac{dA_{2}}{dz} = -\frac{\omega}{8\pi} \frac{Y_{e}^{2} g^{2}}{8\pi} (A_{1} A_{1}^{*}) A_{2}$$

-

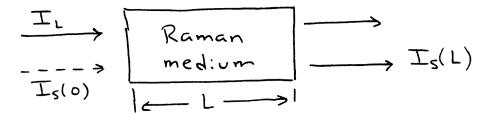
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.**\***\_\_\_\_.

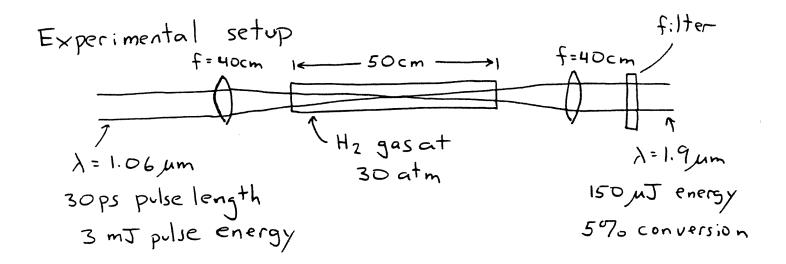




We can predict the Stokes output intensity:



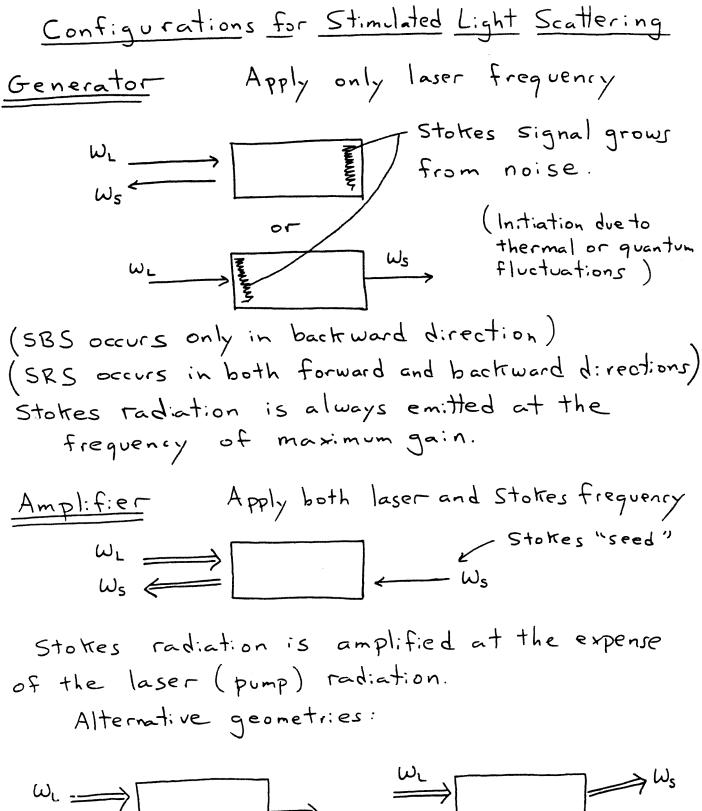
$$T_{s}(L) = T_{s}(o) e^{gT_{L}}L$$

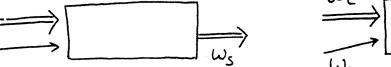


i ypical Raman media		
material	Δν (cm <sup>-1</sup> )	gain g x 10 <sup>3</sup> (cm/MW)
LIQUIDS		
benzene	992	3
water	3290	0.14
N <sub>2</sub>	2326	17
O <sub>2</sub>	1555	16
GASES		
methane	2916	0.66 (10 atm, 500 nm)
hydrogen	4155 (vibrational)	1.5 (above 10 atm)
	450 (rotational)	0.5 (above 0.5 atm)
deuterium	2991 (vibrational)	1.1 (above 10 atm)
N <sub>2</sub>	2326	0.071 (10 atm, 500 nm)
02	1555 .	0.016 (10 atm, 500 nm)

Typical Raman media

U. Simon and F. K. Tittel, Nonlinear Optical Frequency Conversion Techniques, in *Methods of Experimental Physics, Vol. III (Lasers and Optical Devices)*, R. G. Hulet, and F. B. Dunning, eds., Academic Press, 1994.

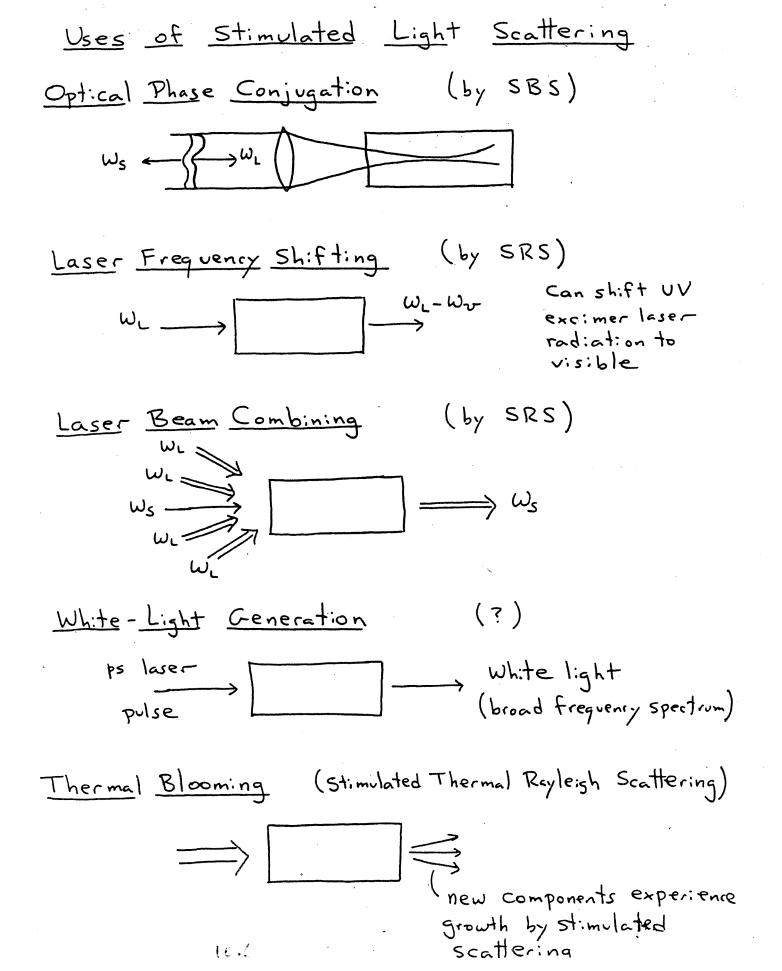






Stimulated Scattering Processes

Stimulated Rayleigh-Wing Scattering interaction with orientational degree of freedom  $\sim 2ps_{7}$   $W_{L} \longrightarrow I \times I \times I \times I$   $W_{S} = W_{L} - \Omega \longrightarrow I \times I \times I \times I$  $\sim aligned molecules$  randomly oriented molecules



## **Experiment in Self Assembly**



## Joe Davis, MIT

## Thank you for your attention!







